#### Introduction to Usable Security Reasoning About the Human in the Loop

#### Lorrie Faith Cranor September 2011



**CyLab Usable Privacy and Security Laboratory** http://cups.cs.cmu.edu/

**Carnegie Mellon** 

#### Outline

- Why should we make secure systems more usable?
- How can we make secure systems more usable
- The human in the loop

# Why should we make secure systems more usable?

## Unusable security & privacy

- Unpatched Windows machines compromised in minutes
- Phishing web sites costing \$billions
- Most PCs infected with spyware
- Users have more passwords than they can remember and practice poor password security
- Enterprises store confidential information on laptops and mobile devices that are frequently lost or stolen



### **Grand Challenge**

"Give end-users security controls they can understand and privacy they can control for the dynamic, pervasive computing environments of the future."

- Computing Research Association 2003



#### security/privacy researchers and system developers



#### human computer interaction researchers and usability professionals



#### security/privacy researchers and system developers

human computer interaction researchers and usability professionals



#### The user experience



#### How do users stay safe online?

























After installing all that security and privacy software do you have any time left to get any work done?



### Security is a secondary task



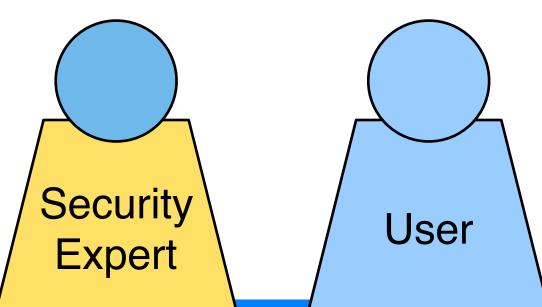


"Users do not want to be responsible for, nor concern themselves with, their own security."



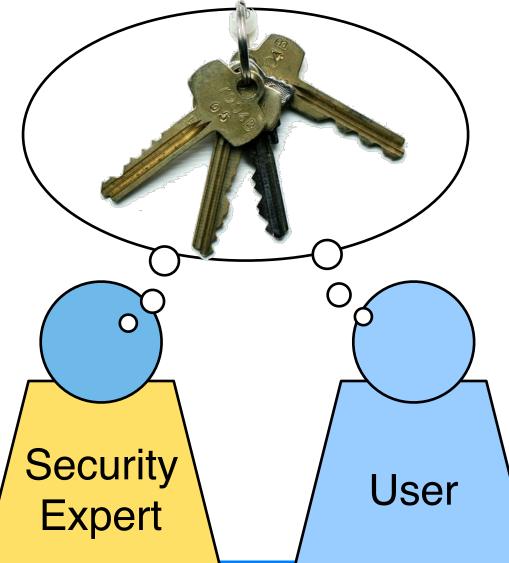


#### Concerns may not be aligned



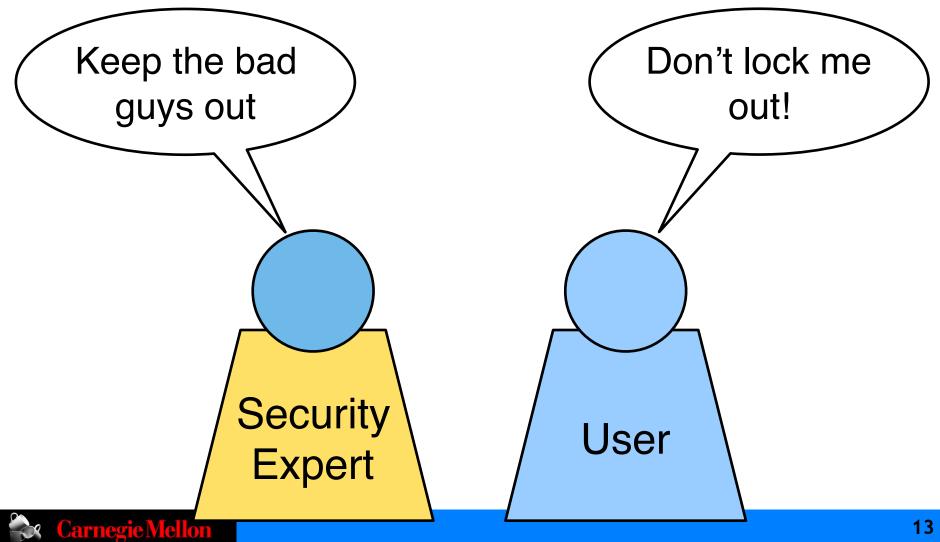


#### Concerns may not be aligned





#### Concerns may not be aligned





### Grey

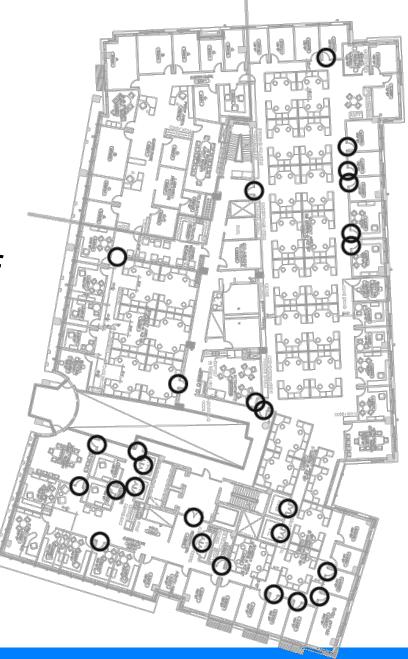
- Smartphone based accesscontrol system
- Used to open doors in the Carnegie Mellon CIC building
- Allows users to grant access to their doors remotely



- L. Bauer, L.F. Cranor, R.W. Reeder, M.K. Reiter, and K. Vaniea. A User Study of Policy Creation in a Flexible Access-Control System. CHI 2008. http://www.robreeder.com/pubs/greyCHI2008.pdf
- L. Bauer, L. F. Cranor, M. K. Reiter, and K. Vaniea. Lessons Learned from the Deployment of a Smartphone-Based Access-Control System. SOUPS 2007. http://cups.cs.cmu.edu/soups/2007/proceedings/p64\_bauer.pdf

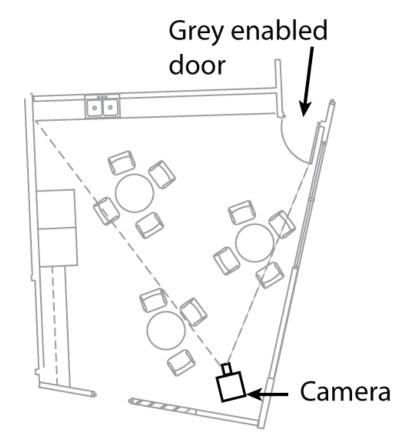
### Data collection

- Year long interview study
- Recorded 30 hours of interviews with Grey users
- System was actively used: 29 users x 12 access per week



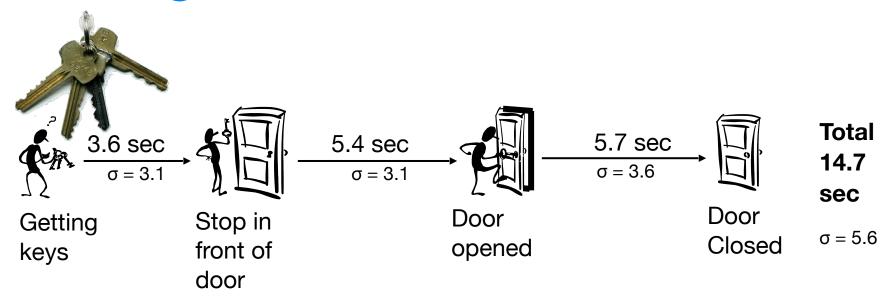
#### Users complained about speed

- Users said Grey was slow
- But Grey was as fast as keys
- Videotaped a door to better understand how doors are opened differently with Grey and keys



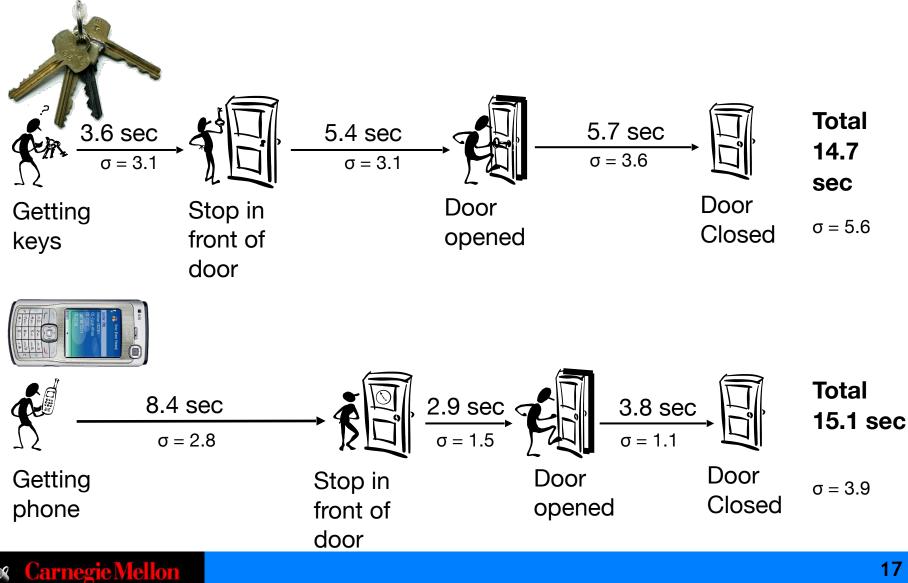
Bathrooms and other work areas

#### Average access times

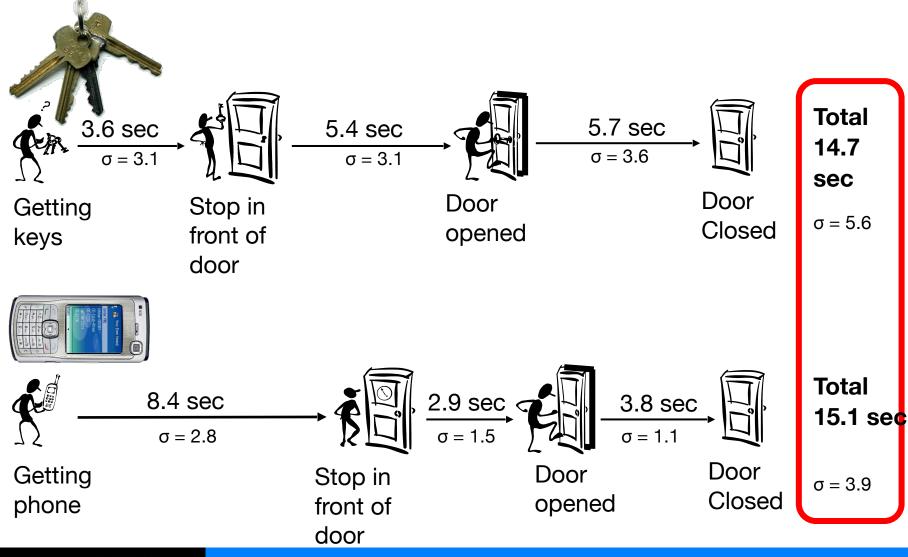




#### Average access times



#### Average access times





"I find myself standing outside and everybody inside is looking at me standing outside while I am trying to futz with my phone and open the stupid door."



## Nobody wants to have to reboot their door





## Unanticipated uses can bolster acceptance





#### Convenience always wins

#### Secure, but usable?

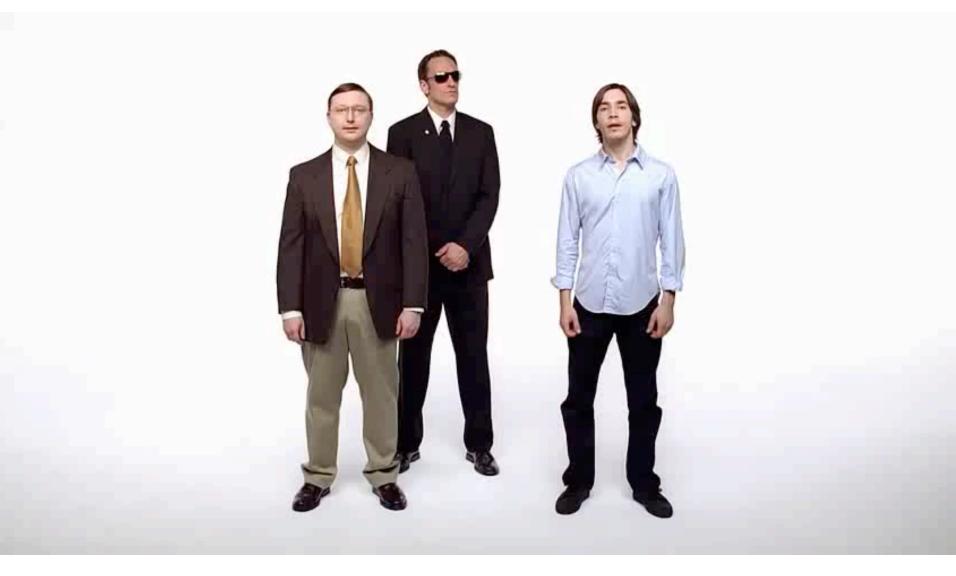




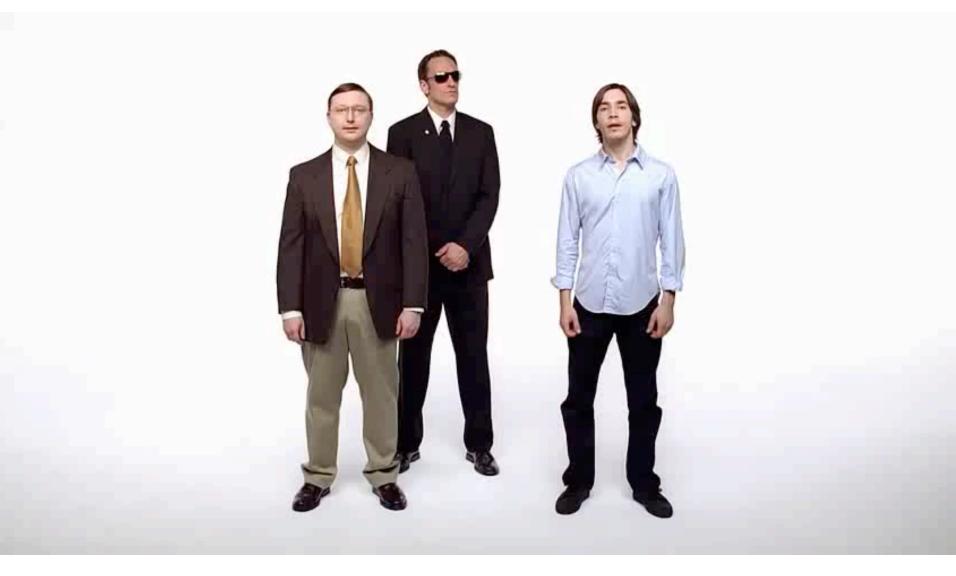
#### Unusable security frustrates users













### Typical password advice

- Pick a hard to guess password
- Don't use it anywhere else
- Change it often
- Don't write it down

#### What do users do when every web site wants a password?



Bank = b3aYZ Amazon = aa66x! Phonebill = p\$2\$ta1







# How can we make secure systems more usable?

## How can we make secure systems more usable?

- Make it "just work"
  - Invisible security
- Make security/privacy understandable
  - Make it visible
  - Make it intuitive
  - Use metaphors that users can relate to
- Train the user



#### Make it "just work"



#### This makes users very happy



(but it's not that easy)



## One way to make it work: make decisions



 Developers should not expect users to make decisions they themselves can't make



#### Make security understandable



# "Present choices, not dilemmas"

- Chris Nodder (in charge of user experience for Windows XP SP2)





#### How Much Privacy Do You Need?

The installation wizard will automatically configure Tor for your privacy needs. Please select a default level below. If you're not sure, you can always customize or change your settings later.

#### Critical Privacy Needs

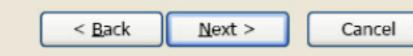
You will accept slower or more difficult Internet access in order to ensure that your Internet usage is never identified with you. This setting will configure all of your applications to use Tor.

#### Selective Privacy Needs

There are some online activities for which you may have critical privacy needs and other online activities for which your privacy needs are moderate or non-existent. For example, you may only have critical privacy needs while browsing or instant messaging. This setting will allow you to select which of your applications will use Tor.

#### Basic Privacy Needs

You would like to maximize the speed and convenience of your Internet access while protecting your privacy as much as possible. This setting will configure Tor for the Firefox web browser only. Your configuration options will be set to maximize the speed and convenience of your Internet access.





#### Train the user



### Why do humans fall for phish?

- Not motivated to pay attention to training
  - "Security is not my problem"
- Mental models inconsistent with reality
  - "If site looks professional it must be legitimate"
- Need actionable advice they can understand
  - Difficult to be alert if you don't know what you're looking for





### How do we get people trained?

# Learning science principles Teachable moments Fun

P. Kumaraguru, S. Sheng, A. Acquisti, L. Cranor, and J. Hong. Teaching Johnny Not to Fall for Phish. ACM Trans. Internet



31.

#### PhishGuru embedded training

- Send email that look like phish
- If recipient falls for it, train in succinct and engaging format
- Study demonstrated effectiveness of PhishGuru and found that same training was not effective sent as regular email

Learning science principles + Teachable moment + Fun





### School of phish

28-day study



- 515 CMU students, faculty, and staff
- Conditions: No training, 1 training message, 2 training messages
- 7 simulated phishing emails and 3 legitimate emails sent to each participant

P. Kumaraguru, J. Cranshaw, A. Acquisti, L. Cranor, J. Hong, M.A. Blair, and T. Pham. School of Phish: A Real-Word Evaluation of Anti-Phishing Training. SOUPS 2009. http://www.cylab.cmu.edu/research/techreports/tr\_cylab09002.html



#### Simulated spear phishing message

From: Help Desk <alert-password@cmu.edu>

Subject: Your Andrew password alert

Date: November 17, 2008 11:08:19 AM EST

To: Ponnurangam Kumaraguru (PK)

Dear Student/Faculty/Staff,

Our records indicate that you have not changed your Andrew password in the last 90 days, if you do not change your password in the next 5 days, your access to the Andrew email system will be terminated. Click the link below to update your password.

http://andrewwebmail.org/password/change.htm?ID=9009

Sincerely, Andrew Help Desk



#### Simulated spear phishing message

From: Help Desk <alert-password@cmu.edu>

Subject: Your Andrew password alert Date: November 17, 2008 11:08:19 AM EST To: Ponnurangam Kumaraguru (PK) Plain text email without graphics

Dear Student/Faculty/Staff,

Our records indicate that you have not changed your Andrew password in the last 90 days, if you do not change your password in the next 5 days, your access to the Andrew email system will be terminated. Click the link below to update your password.

http://andrewwebmail.org/password/change.htm?ID=9009

Sincerely, Andrew Help Desk

#### URL is not hidden

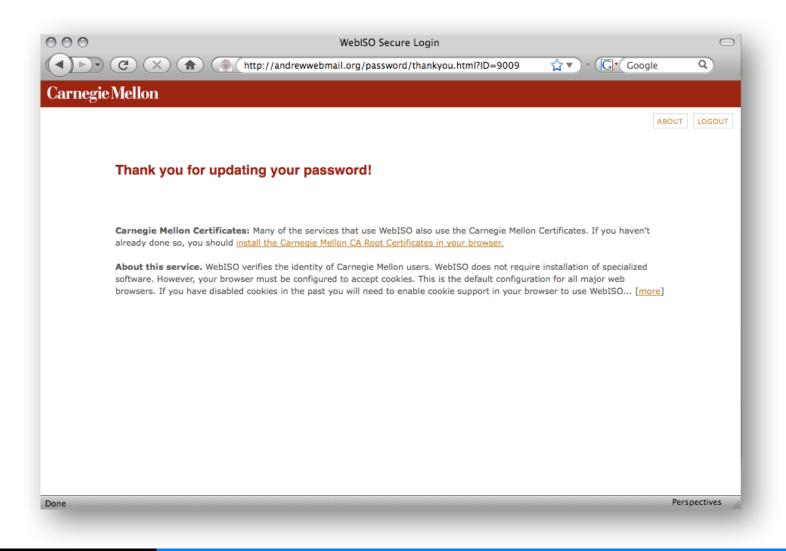


### Simulated phishing website

	ABOUT LOGOUT
Webl	SO Secure Login
The re	source you requested requires you to authenticate.
User II	ANDREW.CMU.EDU
Old pa	ssword
	assword
Confirm	m password
	<b>gie Mellon Certificates:</b> Many of the services that use WebISO also use the Carnegie Mellon Certificates. If you haven't <sup>,</sup> done so, you should <u>install the Carnegie Mellon CA Root Certificates in your browser.</u>
softwar	this service. WebISO verifies the identity of Carnegie Mellon users. WebISO does not require installation of specialized re. However, your browser must be configured to accept cookies. This is the default configuration for all major web rs. If you have disabled cookies in the past you will need to enable cookie support in your browser to use WebISO



### Simulated phishing website



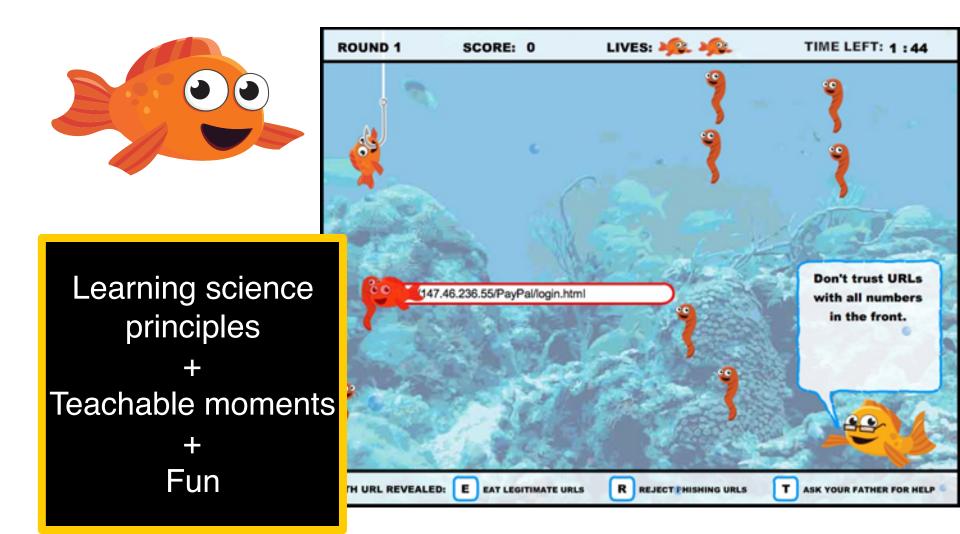


#### Results

- PhishGuru training taught people to distinguish phishing and legitimate emails
  - Those trained with PhishGuru still clicked on legitimate links
  - But those trained with PhishGuru were less likely to click on phishing links, even 28 days after training



#### Training games: Anti-phishing Phil



#### From research to reality

- Started as student thesis projects
- Studied how experts, novices respond to phish
- Iterated on PhishGuru and Phil implementations
  Lab studies, focus groups, field studies
- PhishGuru training, Anti-Phishing Phil, and more now offered by Wombat Security Technologies



#### The human in the loop

#### Humans

"Humans are incapable of securely storing high-quality cryptographic keys, and they have unacceptable speed and accuracy when performing cryptographic operations. (They are also large, expensive to maintain, difficult to manage, and they pollute the environment. It is astonishing that these devices continue to be manufactured and deployed. But they are sufficiently pervasive that we must design our protocols around their limitations.)"

– C. Kaufman, R. Perlman, and M. Speciner.
 Network Security: PRIVATE Communication in a PUBLIC World.
 2nd edition. Prentice Hall, page 237, 2002.



#### Humans are weakest link

- Most security breaches attributed to "human error"
- Social engineering attacks proliferate
- Frequent security policy compliance failures
- Automated systems are generally more predictable and accurate than humans

#### Why are humans in the loop at all?



#### Why are humans in the loop at all?

- Don't know how or too expensive to automate
- Human judgments or policy decisions needed
- Need to authenticate humans

#### The human threat



#### The human threat

Malicious humans who will attack system



#### The human threat

- Malicious humans who will attack system
- Humans who are unmotivated to perform security-critical tasks properly or comply with policies



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- Humans who are unmotivated to perform security-critical tasks properly or comply with policies
- Humans who don't know when or how to perform security-critical tasks

### The human threat

- Malicious humans who will attack system
- Humans who are unmotivated to perform security-critical tasks properly or comply with policies
- Humans who don't know when or how to perform security-critical tasks
- Humans who are incapable of performing security-critical tasks

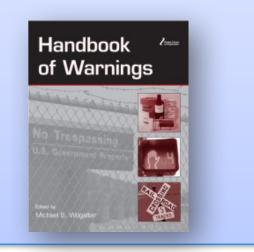
# Need to better understand humans in the loop

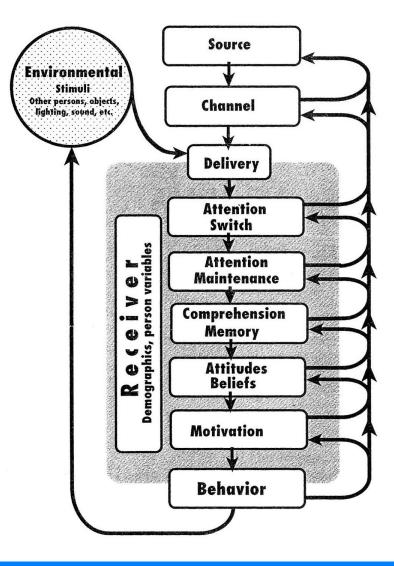
- Do they know they are supposed to be doing something?
- Do they understand what they are supposed to do?
- Do they know how to do it?
- Are they motivated to do it?
- Are they capable of doing it?
- Will they actually do it?

## C-HIP Model

#### Communication-Human Information Processing Model

Wogalter, M. 2006. Communication-Human Information Processing (C-HIP) Model. In Wogalter, M., ed., Handbook of Warnings. Lawrence Erlbaum Associates, 51-61.



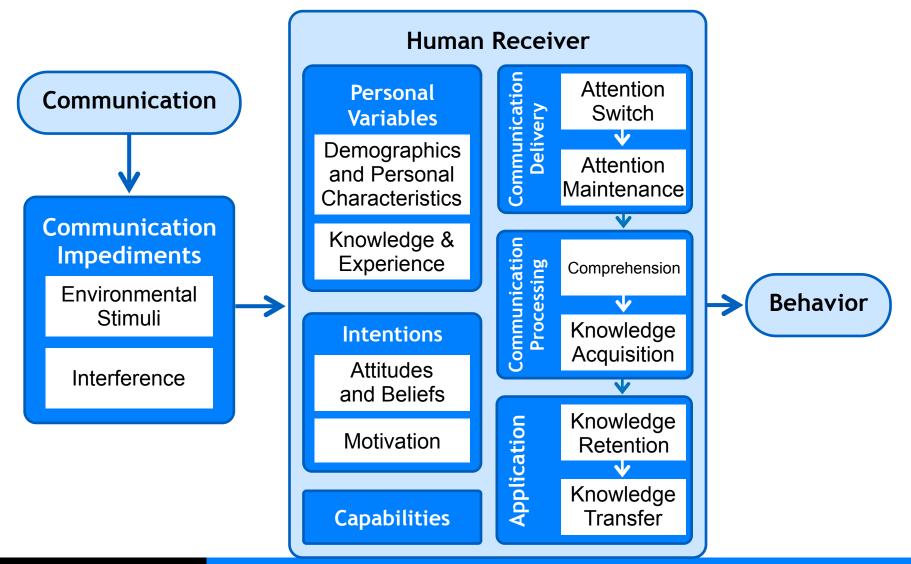


### Human-in-the-loop security framework

- Applied C-HIP to security indicators
- Expanded to model other types of human interaction with secure systems
  - Password policies
  - Online trust decisions
- Developed human threat identification and mitigation process
- L. Cranor. A Framework for Reasoning About the Human In the Loop. Usability, Psychology and Security 2008. <u>http://www.usenix.org/</u> <u>events/upsec08/tech/full\_papers/cranor/cranor.pdf</u>



## Human-in-the-loop framework





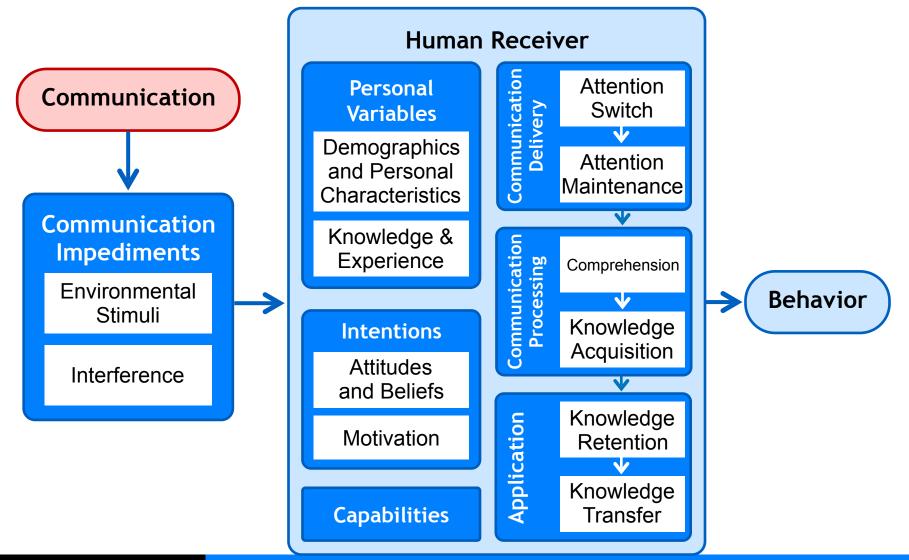
### Communication processing model

- Framework is based on communication processing model
  - Many models in the literature
  - Used to model all sorts of communications
- Most end-user security actions are triggered by some form of communication

- Pop-up alert, email, manual, etc.

 Expert self-discovery of a security process can be modeled as communication to oneself

## Communication





#### Warnings

- Alert users to take immediate action to avoid hazard



- Warnings
  - Alert users to take immediate action to avoid hazard

#### Notices

 Inform users about characteristics of entity or object



- Warnings
  - Alert users to take immediate action to avoid hazard

#### Notices

- Inform users about characteristics of entity or object
- Status indicators
  - Inform users about system status information

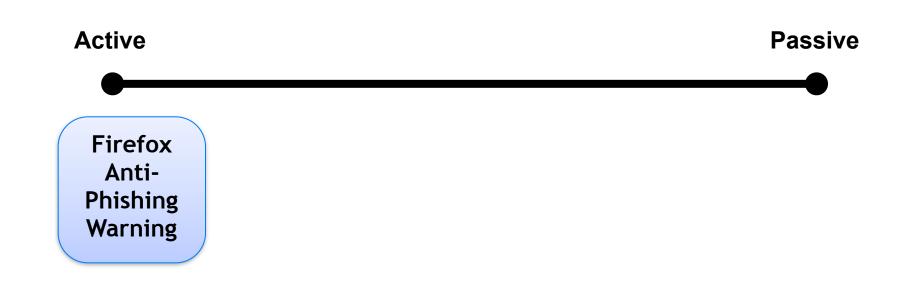
- Warnings
  - Alert users to take immediate action to avoid hazard
- Notices
  - Inform users about characteristics of entity or object
- Status indicators
  - Inform users about system status information
- Training
  - Teach users about threat and how to respond



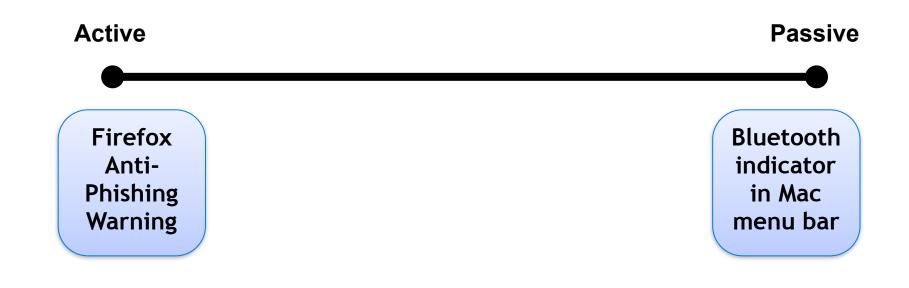
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- Notices
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  - Inform users about system status information
- Training
  - Teach users about threat and how to respond
- Policy
  - Inform users about policies





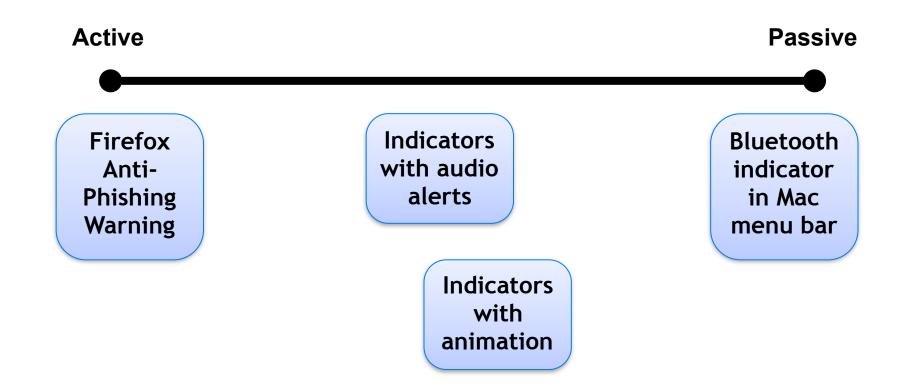






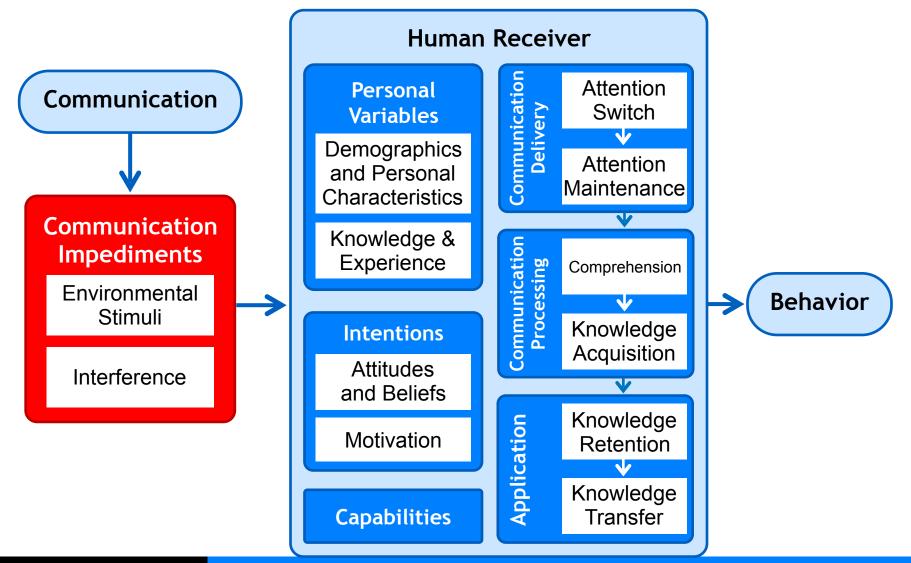






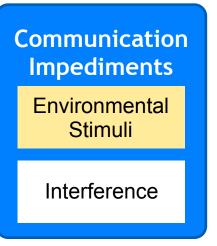


### **Communication impediments**



## Environmental stimuli

- Divert user's attention
- Greatest impact on passive communication
- Examples
  - Other communications
  - Ambient light and noise
  - User's primary task

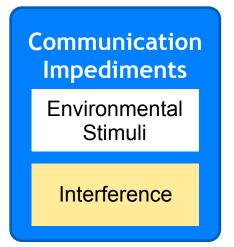


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Firefox Help Firefox Support Plug-in FAQ PrivacyFinder People Finder	
Services * Services * Services * Since: Oct 1996 Rank: 203 Site Report E [US] Amazon.com, Inc.	
Google - GSearch → GSearch → Check → AutoLink ⊕AutoFill	🗟 Subscribe 🛛 🔩 Options 🔗
Proxy: None 🗘 🖍 Apply 🖉 Edit 🦗 Remove 🗋 Add Status: Using None 🎄 Preferences	
amazon.com Lorrie's See All 35 Amazon.com Product Categories Your Account   "Cart   Your Lists 🕤	Help   NEW
Search Amazon.com	Find Gifts A Web Search
Sign In	
What is your e-mail address?	
My e-mail address is lorrie@acm.org	
Do you have an Amazon.com password?	
No, I am a new customer.	
Yes, I have a password:	
Sign in using our secure server Forgot your password? Click here Has your e-mail address changed since your last order?	
The secure server will encrypt your information. If you received an error message when you tried to u secure server, sign in using our standard server.	se our
You are now Unmasked Done	www.amazon.com 📄 roxy: None

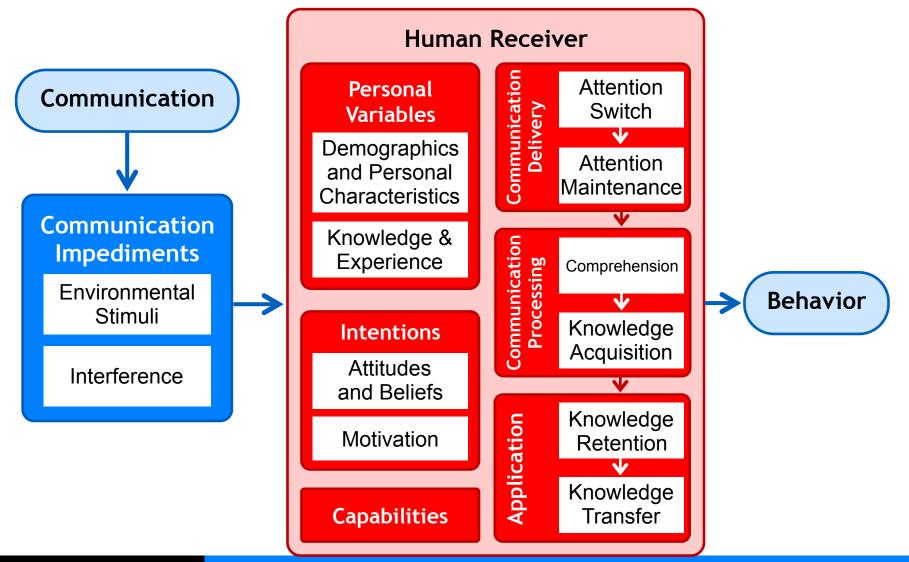


### Interference

- Anything that may prevent a communication from being received as the sender intended
- Caused by
  - Malicious attackers
  - Technology failures
  - Environmental stimuli that obscure the communication
- Focus of traditional secure systems analysis



### Human receiver - The human in the loop



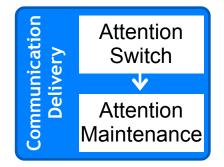
## **Communication delivery**

#### Attention switch

- Noticing communication
- Attention maintenance
  - Paying attention long enough to process

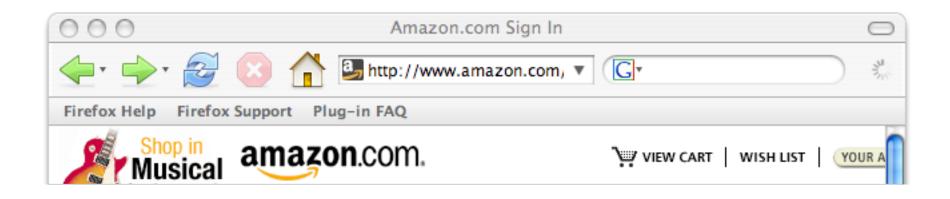
#### Breakdowns

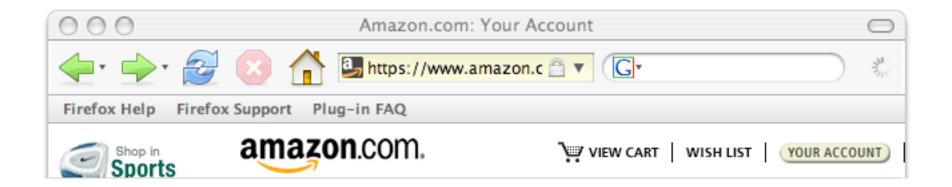
- Environmental stimuli, interference
- Characteristics of communication
- Habituation
  - Tendency for the impact of stimuli to decrease over time



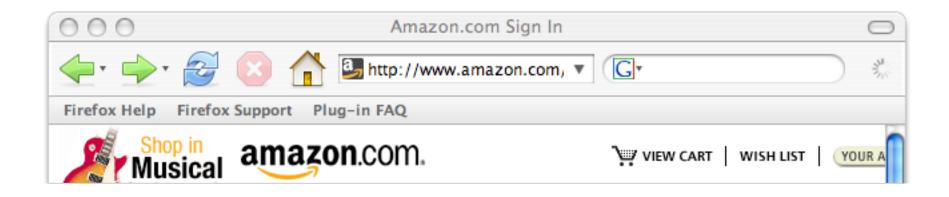
### "What lock icon?"

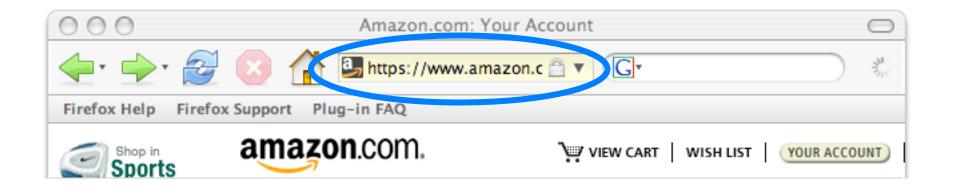














## **Communication processing**

### Comprehension

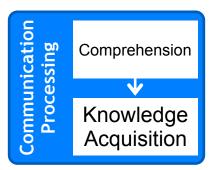
- Understand communication

#### Knowledge acquisition

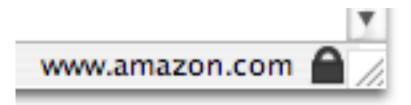
- Learn what to do in response

### Breakdowns

 Unfamiliar symbols, vocabulary, complex sentences, conceptual complexity





















#### **OPERATOR SPECIALTY COMPANY, INC.**



#### Moving Gate Can Cause Serious Injury or Death

KEEP CLEAR! Gate may move at any time without warning.

Do not allow children to operate the gate or play in the gate area.

This gate is for vehicles only. All pedestrians must use a separate entrance.

Read the owner's manual and safety instructions

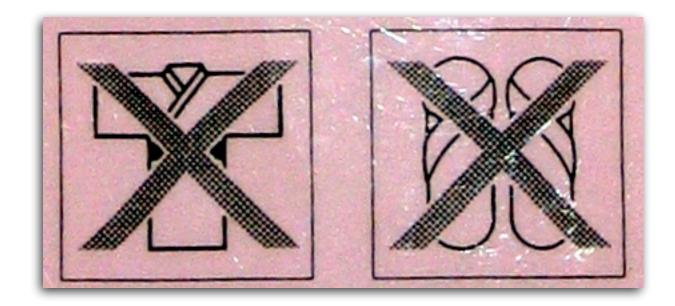
If entrapment protection is by constant hold control, an automatic closing device shall not be used with this gate operator.

OED-300 7/99



#### **OPERATOR SPECIALTY COMPANY, INC.**

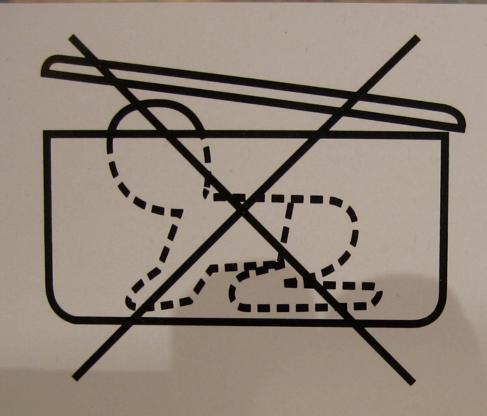












**WARNING!** SUFFOCATION HAZARD

#### MISE EN GARDE ! RISQUE D'ÉTOUFFEMENT.

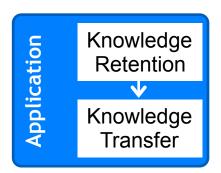
**DEUTSCH** WARNHINWEIS! Erstickungsgefahr.

**NEDERLANDS** LET OP! Verstikkingsgevaar.



# Application

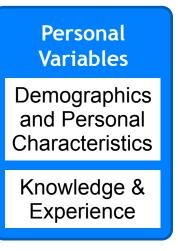
- Knowledge retention
  - Ability to remember communication
- Knowledge transfer
  - Ability to recognize applicable situations and apply knowledge
- May not be necessary if application is immediate (e.g. pop-up warning)



### **Personal variables**

Demographics and personal characteristics

- Age, gender, culture, education, occupation, disabilities
- Knowledge and experience
  - Education, occupation, prior experience



### Intentions

#### Attitudes and beliefs

- Beliefs about communication accuracy
- Beliefs about whether they should pay attention
- Self-efficacy whether they believe they can complete actions effectively
- Response-efficacy whether they believe the actions they take will be effective
- How long it will take
- General attitudes trust, annoyance

#### Motivation

- Incentives, disincentives



# Capabilities

- User's level of ability
  - Cognitive or physical skills
  - Availability of necessary software or devices

Capabilities



Are you capable of remembering a unique strong password for every account you have?

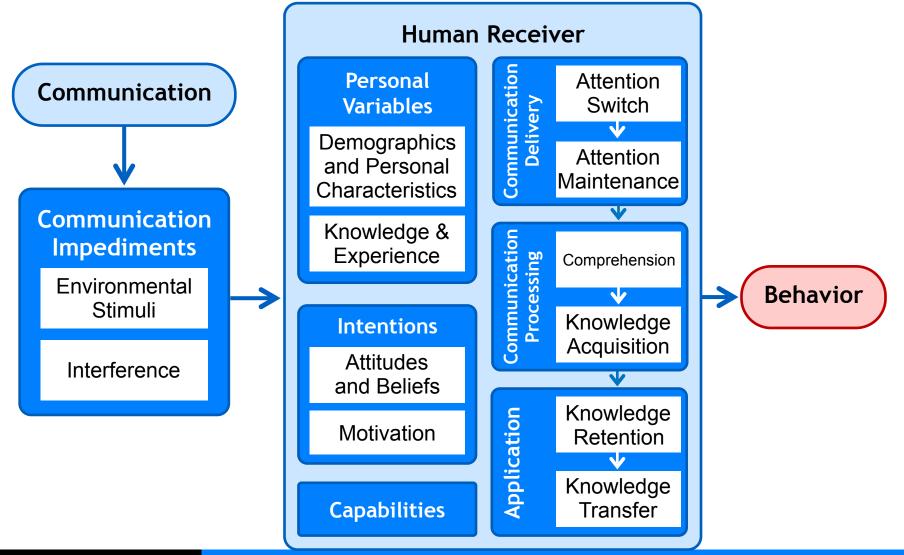


Are you capable of remembering a uniqu strong password for every account you have?





# **Behavior**



### **Behavior**

- Users may intend to comply, but may fail to complete necessary action
- Users may complete recommended action, but do so in a way that follows a predictable pattern that can be exploited by attackers
  - Example: password choice





http://www.arcamax.com/zits/s-427369-156783 Zits by Jerry Scott and Jim Borgman, October 22, 2008



# Gulfs

#### Gulf of Execution

- Gap between a person's intentions to carry out an action and the mechanisms provided by a system to facilitate that action
  - "I can't figure out how to make it do what I want it to do"
- Gulf of Evaluation
  - When a user completes an action but is unable to interpret the results to determine whether it was successful
    - "I can't figure out whether it worked"

Don Norman. The Design of Every Day Things. 1988.

# Generic Error-Modeling System

- Mistakes
  - When people formulate action plans that will not achieve the desired goal
- Lapses
  - When people formulate suitable action plans, but forget to perform a planned action (for example, skipping a step)
- Slips
  - When people perform actions incorrectly (for example, press the wrong button)

James Reason. Human Error. 1990.

	Component		Questions to ask	Factors to consider
Handy ta	Communication		What type of communication is it (warning, notice, status indicator, policy, training)? Is communication active or passive? Is this the best type of communication for this situation?	Severity of hazard, frequency with which hazard is encoun- tered, extent to which appropri- ate user action is necessary to avoid hazard
	Communication impediments	Environmental Stimuli	What other environmental stimuli are likely to be present?	Other related and unrelated communications, user's primary task, ambient light, noise
<b>c</b> .		Interference	Will anything interfere with the communica- tion being delivered as intended?	Malicious attackers, technology failures, environmental stimuli to bscure the communication
Component	Personalitari ables	Demographics and personal characteristics	Who a sthe users? What do their personal characteristics suggest about how they are like y to behave?	(ge, gender, culture, education, occupation, disabilities
		Knowledge and experience	What relevant knowledge or experience do me users or recipients have?	Education, occupation, prior experience
Questions to ask	Intentions	Attitudes and beliefs	Do users believe the communication is accurate? Do they believe they should day attention to it? Do they have a positive attitude about it?	Reliability, conflicting goals, distraction from primary task, risk perception, self-efficacy, response efficacy
		Motivation	Are users motivated to take the appropriate action? Are thy motivated to do a carefully or properly?	Conflicting goals, distraction from primary task, convenience, risk perception, consequences, incentives/disincentives
Factors to	Capabilities	1	Are users capable of taking the appropriate action?	Knowledge, cognitive or physi- cal skills, memorability, required software or devices
consider	Communication delivery	Attontion switch	Do users notice the communication? Are they aware of rules, procedures, or training messages?	Environmental stimuli, interfer- ence, format, font size, length, delivery channel, habituation
		Attention main- tenance	Do users pay attention to the communica- tion long enough to process it? Do they read, watch, or listen to it fully?	Environmental stimuli, format, font size, length, delivery chan- nel, habituation
	Communication processing	Comprehension	Do users understand what the communica- tion means?	Symbols, vocabulary and sen- tence structure, conceptual complexity, personal variables
		Knowledge acquisition	Have users learned how to apply it in prac- tice? Do they know what they are sup- posed to do?	Exposure or training time, in- volvement during training, per- sonal characteristics
	Application	Knowledge retention	Do users remember the communication when a situation arises in which they need to apply it? Do they recognize and recall the meaning of symbols or instructions?	Frequency, familiarity, long term memory, involvement during training, personal characteristics
		Knowledge transfer	Can users recognize situations where the communication is applicable and figure out how to apply it?	Involvement during training, similarity of training, personal characteristics
	Behavior		Does behavior result in successful comple- tion of desired action?	See Norman's Stages of Action, GEMS
			Does behavior follow predictable patterns that an attacker might exploit?	Type of behavior, ability of peo- ple to act randomly in this con- text, usefulness of prediction to attacker

#### Appendix A: Components of the human-in-the-loop security framework



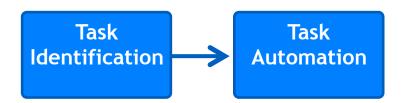
**Carnegie Mellon** 



Task Identification

Identify points where system relies on humans to perform security-critical functions

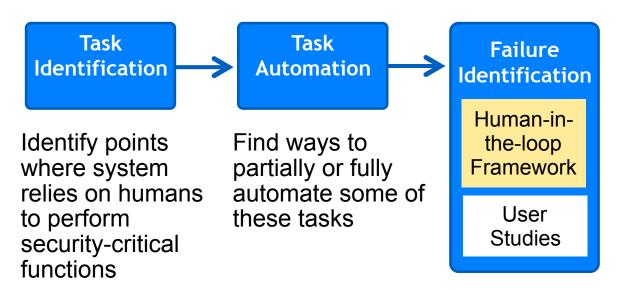




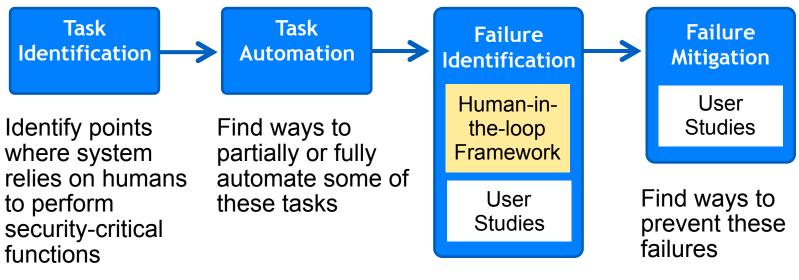
Identify points where system relies on humans to perform security-critical functions

Find ways to partially or fully automate some of these tasks

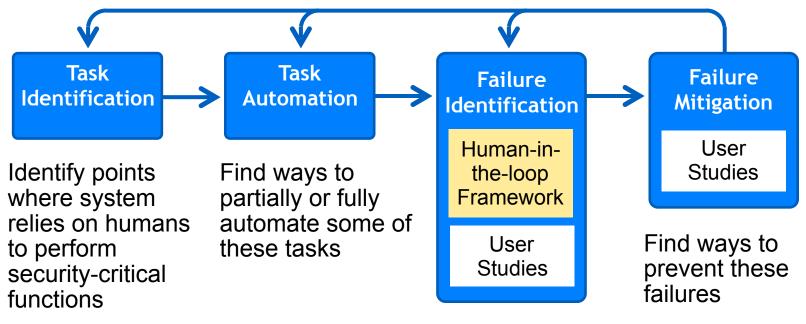




Identify potential failure modes for remaining tasks



Identify potential failure modes for remaining tasks



Identify potential failure modes for remaining tasks

#### Guidelines for automating appropriately

- How accurate is the system?
- How are stakeholder values embodied in the system? What roles do social and environmental contexts have in this particular application?
- Does automation reduce end-user information overload or otherwise simplify the task of security decision making?
- Are there alternatives to automation that are at least as appropriate for end-users?
- If automating, are there mechanisms to "keep the human in the loop"?
- If the automation mechanisms fail, are there user interfaces for gracefully dealing with these situations?

W.K. Edwards, E.S. Poole, and J. Stoll. Security Automation Considered Harmful? NSPW;07.



# Applying the framework

- Applied as part of a human threat identification and mitigation process
- Can be applied to understand failures in existing systems and prioritize mitigations
- Can be applied to proposed systems in design phase to inform design decisions

# Applying threat identification and mitigation process to warnings

- Task identification
  - Determine whether the task I am trying to complete is sufficiently risky that I should stop
- Often, software asks the user and provides little or no information to help user make this decision

## Computer security warnings

- All too often, when software detects a possible security hazard, it warns the user about it
- Often, it turns out not to be a hazard
- But sometimes it really is a hazard and users ignore the warning anyway

🔘 😑 🔘 Security Error: Domain Name Mismatch				
You have attempted to establish a connection with "www.whitehouse.gov". However, the security certificate presented belongs to "a248.e.akamai.net". It is possible, though unlikely, that someone may be trying to intercept your communication with this web site.				
If you suspect the certificate shown does not belong to "www.whitehouse.gov", please cancel the connection and notify the site administrator.				
View Certificate Cancel OK				



💮 😑 🕞 Security Error: Domain Name Mismatch					
Something happened and you need to click OK to get on with doing things.					
Certificate mismatch security identification administrator communication intercept liliputian snotweasel foxtrot omegaforce.					
Technical Crap Cancel OK					



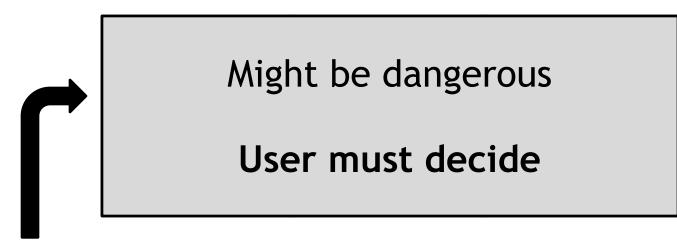
# Automate and change tasks to reduce need for user involvement

Might be dangerous

User must decide



# Automate and change tasks to reduce need for user involvement



Use automated analysis to determine probability of danger



# Automate and change tasks to reduce need for user involvement

$\rightarrow$	High probability of danger	Might be dangerous	Very low probability of danger
	Block	User must decide	Don't bother user

Use automated analysis to determine probability of danger

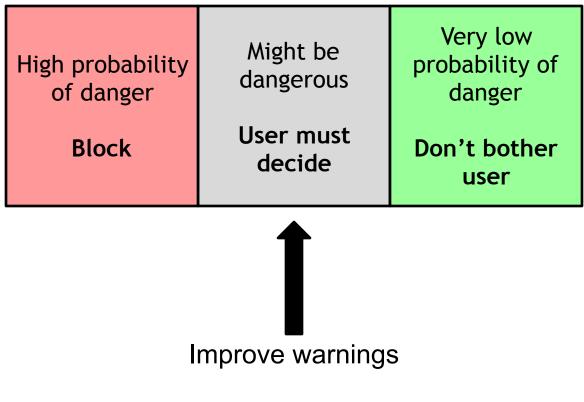


### Support user decision

High probability of danger	Might be dangerous	Very low probability of danger
Block	User must decide	Don't bother user



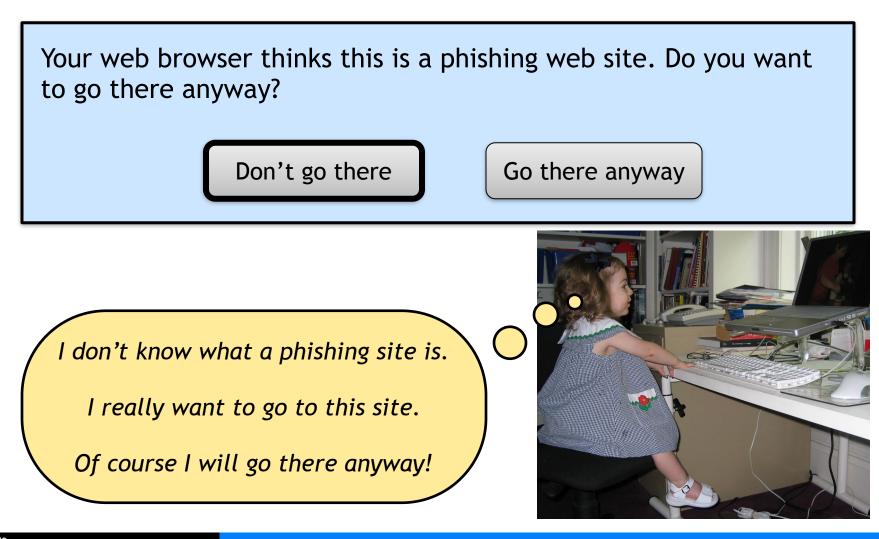
# Support user decision



Help user decide by asking question user is qualified to answer



# **Bad question**



### **Better question**

You are trying to go to evilsite.com. Do you really want to go there or would you rather go to yourbank.com?

Go to yourbank.com

Go to evilsite.com

Of course I want to go to yourbank.com!



#### What to do about hazards?

#### Best solution: remove hazard

# Next best: guard against hazard









#### Cylab Usable Privacy and Security Laboratory

http://cups.cs.cmu.edu/ CarnegieMellon