CSI62 Operating Systems and Systems Programming Lecture 19

File Systems (Con't), MMAP, Buffer Cache

April 7th, 2020 Prof. John Kubiatowicz http://cs162.eecs.Berkeley.edu

Acknowledgments: Lecture slides are from the Operating Systems course taught by John Kubiatowicz at Berkeley, with few minor updates/changes. When slides are obtained from other sources, a a reference will be noted on the bottom of that slide, in which case a full list of references is provided on the last slide.

Recall: A Little Queuing Theory: Some Results

- Assumptions:
 - System in equilibrium; No limit to the queue
 - Time between successive arrivals is random and memoryless

Queue Server **Arrival Rate** Service Rate µ=I/T_{ser}

- Parameters that describe our system:
 - mean number of arriving customers/second
 - T_{ser}: mean time to service a customer ("ml")
 - squared coefficient of variance = σ^2/ml^2 -C

$$-\mu$$
: service rate = $1/T_{ser}$

server utilization (
$$0 \le u \le I$$
): $u = \lambda / \mu = \lambda \times T_{se}$

- Parameters we wish to compute:
 - Time spent in queue

Length of queue =
$$\lambda \times T_q$$
 (by Little's law)

Results:

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 $-\lambda$:

— U:

_ T_:

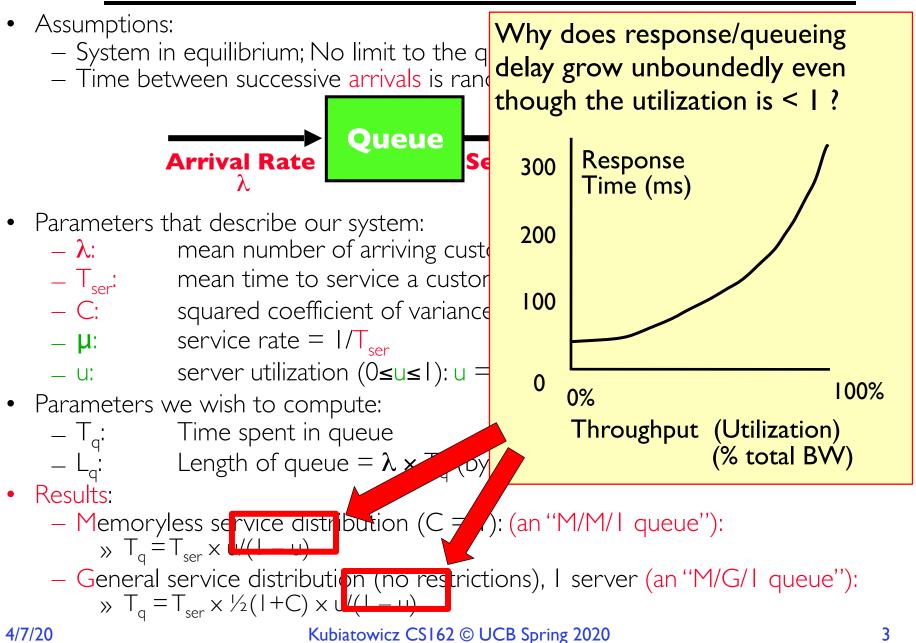
- Memoryless service distribution (C = 1): (an ''M/M/1 queue''):

$$T_a = T_{cor} \times u/(1 - u)$$

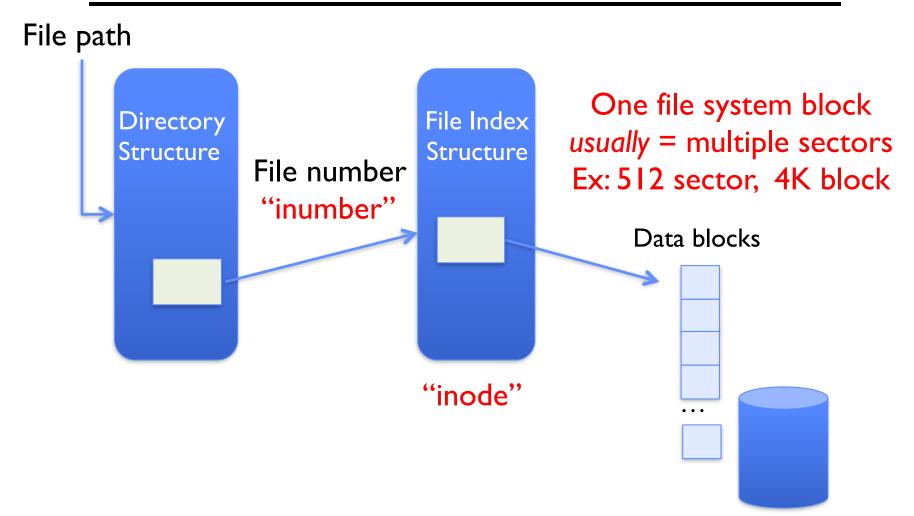
$$T_q = T_{ser} \times u/(1 - u)$$

General service distribution (no restrictions), I server (an "M/G/I queue"): » $T_q = T_{ser} \times \frac{1}{2}(1+C) \times \frac{1}{2}(1+C)$

Recall: A Little Queuing Theory: Some Results



Components of a File System



Components of a file system



- Open performs Name Resolution
 - Translates pathname into a ''file number''
 - » Used as an "index" to locate the blocks
 - Creates a file descriptor in PCB within kernel
 - Returns a "handle" (another integer) to user process
- Read, Write, Seek, and Sync operate on handle

 Mapped to file descriptor and to blocks

Directories

		泰 ~			Q Search
avorites	Name	Date Modified	Size	Kind	
	v static	Feb 10, 2016, 12:45 PM		Folder	
😻 Dropbox	CSS	Jan 14, 2016, 11:51 AM		Folder	
iCloud Drive	exams	Mar 10, 2016, 9:03 PM		Folder	
AirDrop	fonts	Jan 14, 2016, 11:51 AM		Folder	
	🔻 📃 hw	Mar 1, 2016, 7:29 PM		Folder	
Desktop	hw0.pdf	Jan 20, 2016, 3:19 PM	175 KB	PDF Document	
😭 adj	hw1.pdf	Feb 11, 2016, 9:42 AM	128 KB	PDF Document	
Applications	hw2.pdf	Feb 16, 2016, 9:00 PM	180 KB	PDF Document	
	hw3.pdf	Mar 1, 2016, 7:29 PM	200 KB	PDF Document	
Documents	🕨 🛄 js	Jan 14, 2016, 11:51 AM		Folder	
Downloads	lectures	Apr 1, 2016, 5:41 PM		Folder	
Movies	pics	Jan 18, 2016, 6:13 PM		Folder	
	profiles	Jan 25, 2016, 3:32 PM		Folder	
box Box Sync	projects	Mar 26, 2016, 10:07 AM		Folder	
Google Drive	🔻 📃 readings	Jan 14, 2016, 11:51 AM		Folder	
	endtoend.pdf	Jan 14, 2016, 11:51 AM	38 KB	PDF Document	
evices	FFS84.pdf	Jan 14, 2016, 11:51 AM	1.3 MB	PDF Document	
Remote Disc	🍙 garman_bug_81.pdf	Jan 14, 2016, 11:51 AM	610 KB	PDF Document	
	jacobson-congestion.pdf	Jan 14, 2016, 11:51 AM	1.2 MB	PDF Document	
hared	Original_Byzantine.pdf	Jan 14, 2016, 11:51 AM	1.2 MB	PDF Document	
🔲 adj-MBP	patterson_queue.pdf	Jan 14, 2016, 11:51 AM	1.3 MB	PDF Document	
😑 adj-mini	🚡 TheracNew.pdf	Jan 14, 2016, 11:51 AM	299 KB	PDF Document	
-	sections	Mar 17, 2016, 10:03 AM		Folder	
🖨 fido	section1.pdf	Jan 18, 2016, 6:13 PM	130 KB	PDF Document	
All	section2.pdf	Jan 26, 2016, 7:13 PM	108 KB	PDF Document	
Tags	section2sol.pdf	Jan 28, 2016, 10:10 AM	127 KB	PDF Document	
	section3.pdf	Feb 5, 2016, 10:15 AM	115 KB	PDF Document	
	section3sol.pdf	Feb 5, 2016, 10:15 AM	134 KB	PDF Document	
	section4.pdf	Feb 10, 2016, 12:45 PM	114 KB	PDF Document	
	section4sol.pdf	Feb 11, 2016, 9:42 AM	134 KB	PDF Document	
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Directory

- Basically a hierarchical structure
- Each directory entry is a collection of
 - Files
 - Directories
 - » A link to another entries
- Each has a name and attributes
 Files have data
- Links (hard links) make it a DAG, not just a tree
 Softlinks (aliases) are another name for an entry

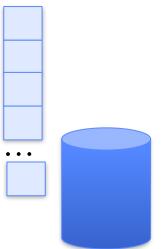
Directory Structure

- How many disk accesses to resolve "/my/book/count"?
 - Read in file header for root (fixed spot on disk)
 - Read in first data block for root
 - » Table of file name/index pairs. Search linearly ok since directories typically very small
 - Read in file header for "my"
 - Read in first data block for "my"; search for "book"
 - Read in file header for "book"
 - Read in first data block for "book"; search for "count"
 - Read in file header for "count"
- Current working directory: Per-address-space pointer to a directory (inode) used for resolving file names
 - Allows user to specify relative filename instead of absolute path (say CWD=''/my/book'' can resolve ''count'')

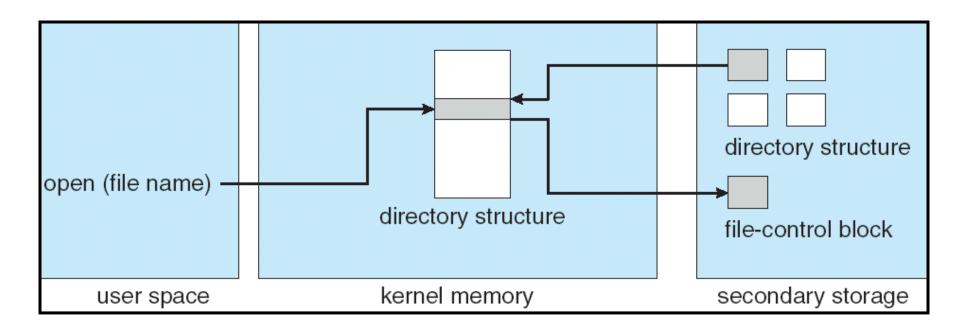
File

- Named permanent storage
- Contains
 - Data
 - » Blocks on disk somewhere
 - Metadata (Attributes)
 - » Owner, size, last opened, ...
 - » Access rights
 - R, W, X
 - Owner, Group, Other (in Unix systems)
 - Access control list in Windows system



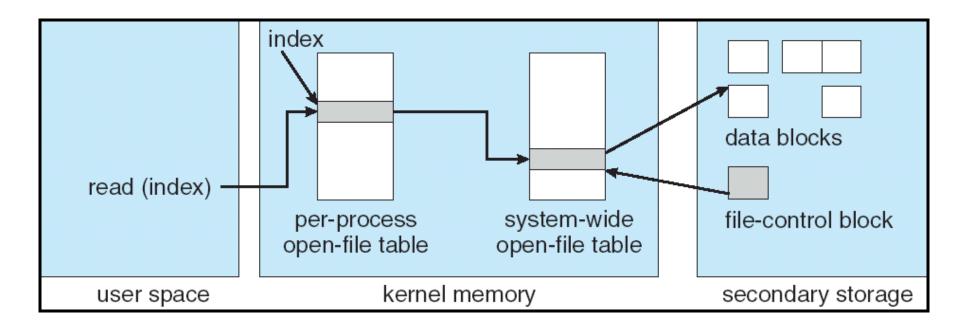


In-Memory File System Structures



- Open system call:
 - Resolves file name, finds file control block (inode)
 - Makes entries in per-process and system-wide tables
 - Returns index (called "file handle") in open-file table

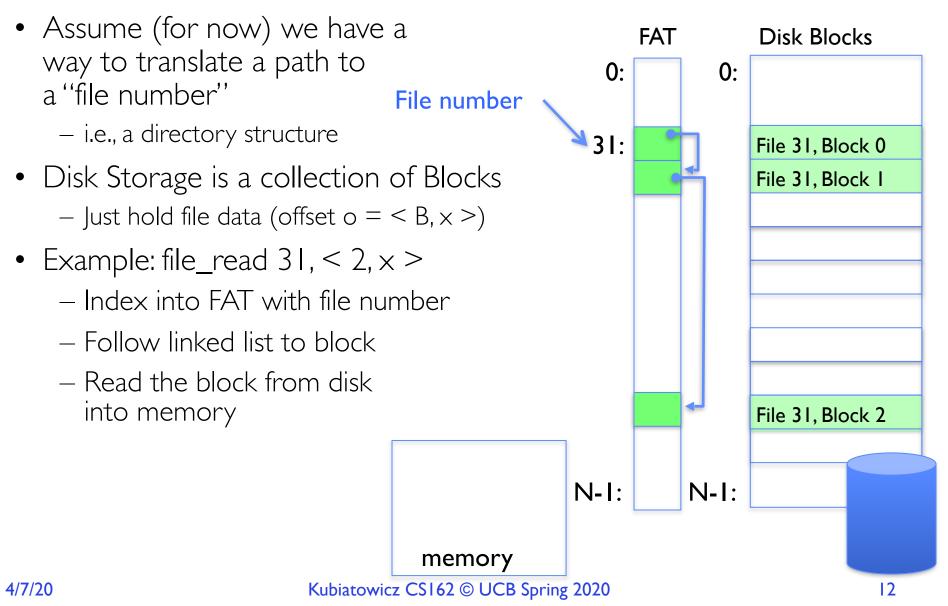
In-Memory File System Structures



- Read/write system calls:
 - –Use file handle to locate inode
 - Perform appropriate reads or writes

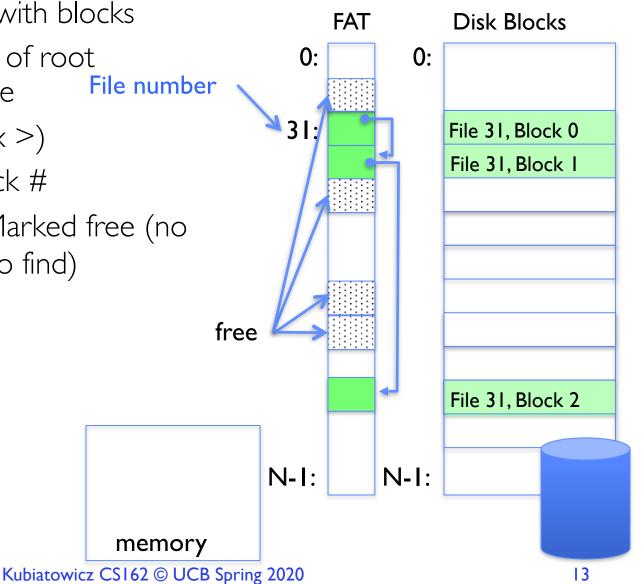
Our first filesystem: FAT (File Allocation Table)

• The most commonly used filesystem in the world!



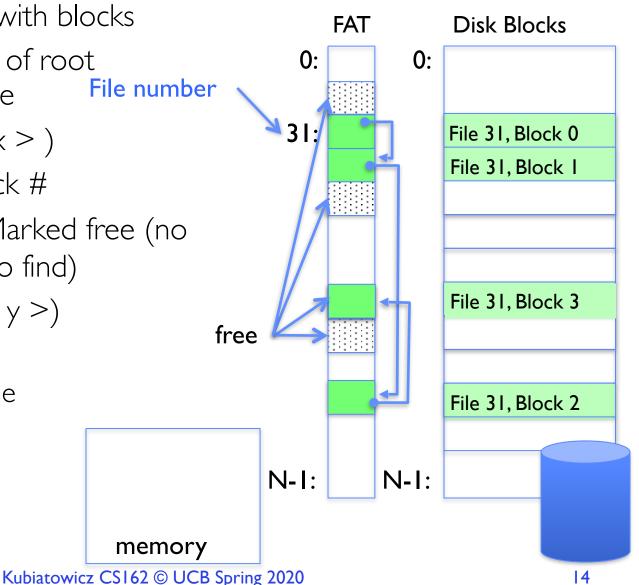
FAT Properties

- File is collection of disk blocks
- FAT is linked list I-I with blocks
- File Number is index of root of block list for the file
 File number
- File offset ($o = \langle B, x \rangle$)
- Follow list to get block #
- Unused blocks ⇔ Marked free (no ordering, must scan to find)



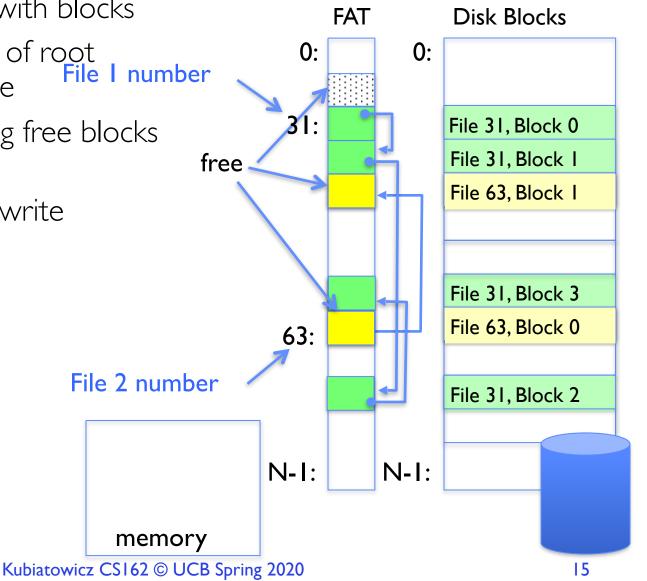
FAT Properties

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- File offset ($o = \langle B, x \rangle$)
- Follow list to get block #
- Unused blocks ⇔ Marked free (no ordering, must scan to find)
- Ex: file_write(31, < 3, y >)
 - Grab free block
 - Linking them into file



FAT Properties

- File is collection of disk blocks
- FAT is linked list I-I with blocks
- File Number is index of root of block list for the file
- Grow file by allocating free blocks and linking them in
- Ex: Create file, write, write

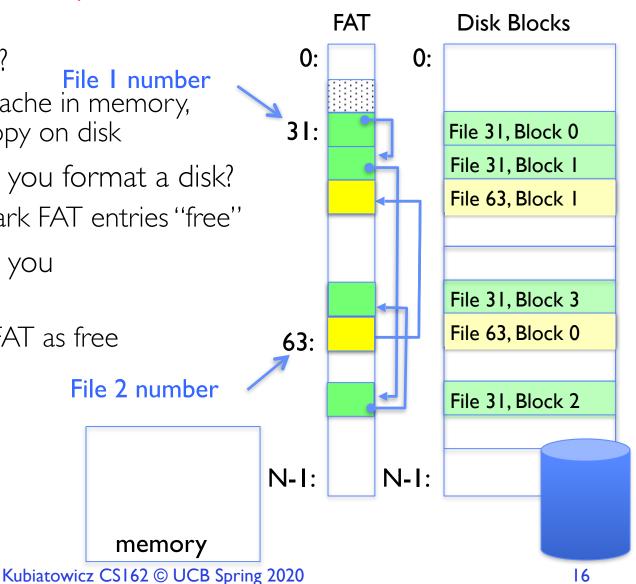


FAT Assessment

- FAT32 (32 instead of 12 bits) used in Windows, USB drives, SD cards, ...
 FAT
- Where is FAT stored?

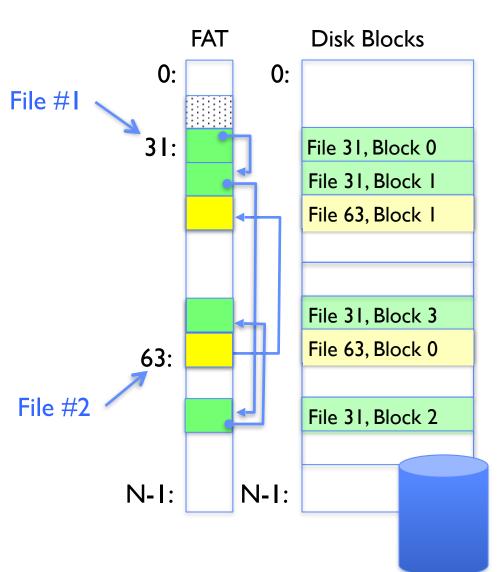
 File I number
 On Disk, on boot cache in memory, second (backup) copy on disk
- What happens when you format a disk?
 Zero the blocks, Mark FAT entries "free"
- What happens when you quick format a disk?
 - Mark all entries in FAT as free
- Simple

 Can implement in device firmware



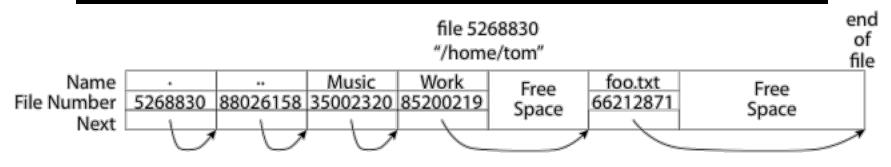
FAT Assessment – Issues

- Time to find block (large files) ??
- Block layout for file ???
- Sequential Access ???
- Random Access ???
- Fragmentation ???
 MSDOS defrag tool
- Small files ???
- Big files ???



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What about FAT directories?



- Directory is a file containing <file_name: file_number> mappings
 - Free space for new/deleted entries
 - In FAT: file attributes are kept in directory (!!!)
 - Each directory is a linked list of entries
- Where do you find root directory (''/'')?
 - At well-defined place on disk
 - For FAT, this is at block 2 (there are no blocks 0 or 1)
 - Remaining directories are accessed via their file_number

Many Huge FAT Security Holes!

- FAT has no access rights

 No way, even in principle, to track ownership of data
- FAT has no header in the file blocks
 - No way to enforce control over data, since all processes have access of FAT table
 - Just follow pointer to disk blocks
- Just gives an index into the FAT to read data
 - (file number = block number)
 - Could start in middle of file or access deleted data

Characteristics of Files

A Five-Year Study of File-System Metadata

NITIN AGRAWAL

University of Wisconsin, Madison

and

WILLIAM J. BOLOSKY, JOHN R. DOUCEUR, and JACOB R. LORCH Microsoft Research

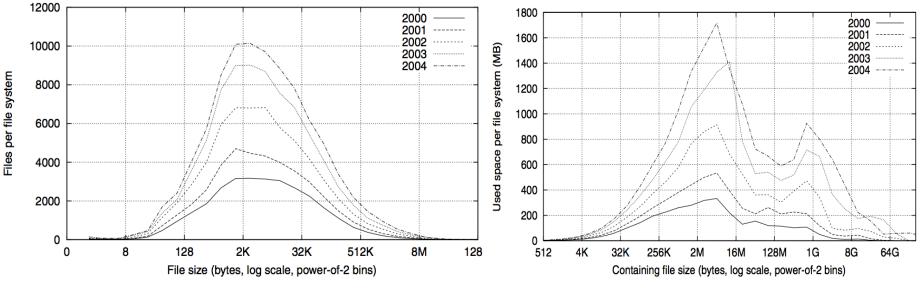


Fig. 2. Histograms of files by size.

Fig. 4. Histograms of bytes by containing file size.

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Unix File System (1/2)

- Original inode format appeared in BSD 4.1
 - Berkeley Standard Distribution Unix
 - Part of your heritage [if you are at Berkley]!
 - Similar structure for Linux Ext2/3
- File Number is index into inode arrays
- Multi-level index structure
 - Great for little and large files
 - Asymmetric tree with fixed sized blocks

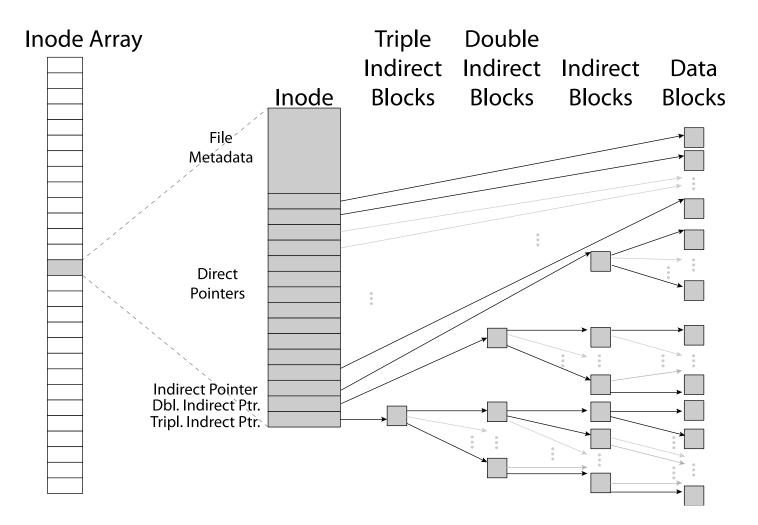
Unix File System (2/2)

• Metadata associated with the file

- Rather than in the directory that points to it

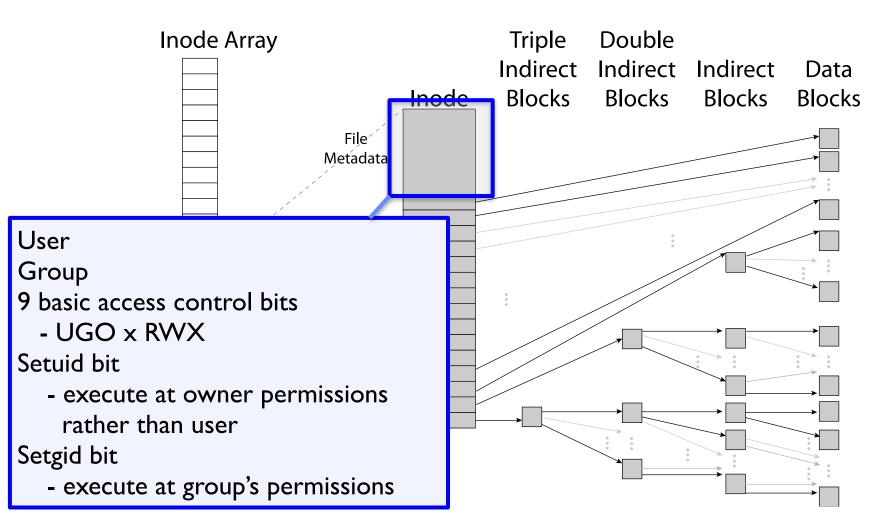
- UNIX Fast File System (FFS) BSD 4.2 Locality Heuristics:
 - Block group placement
 - Reserve space
- Scalable directory structure

• inode metadata



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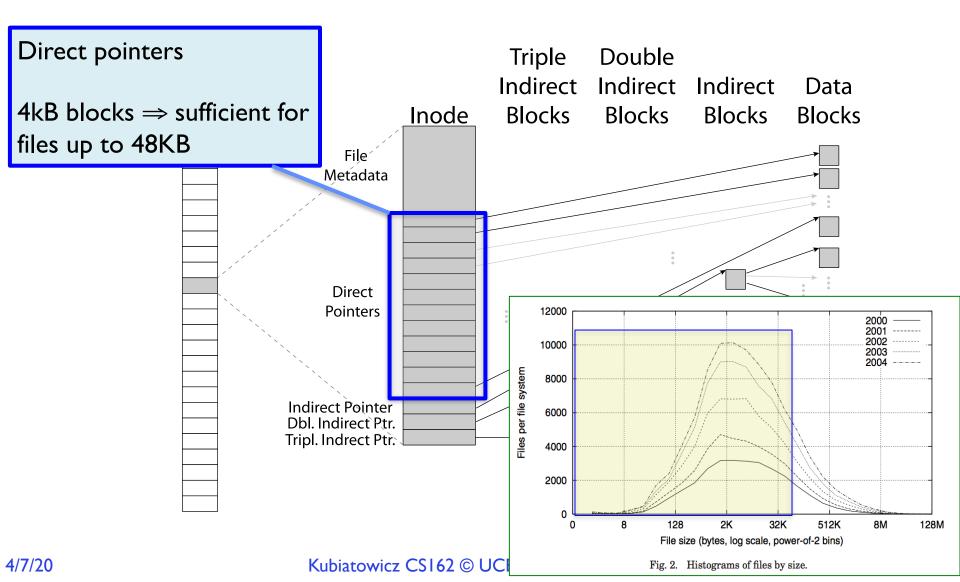
• inode metadata



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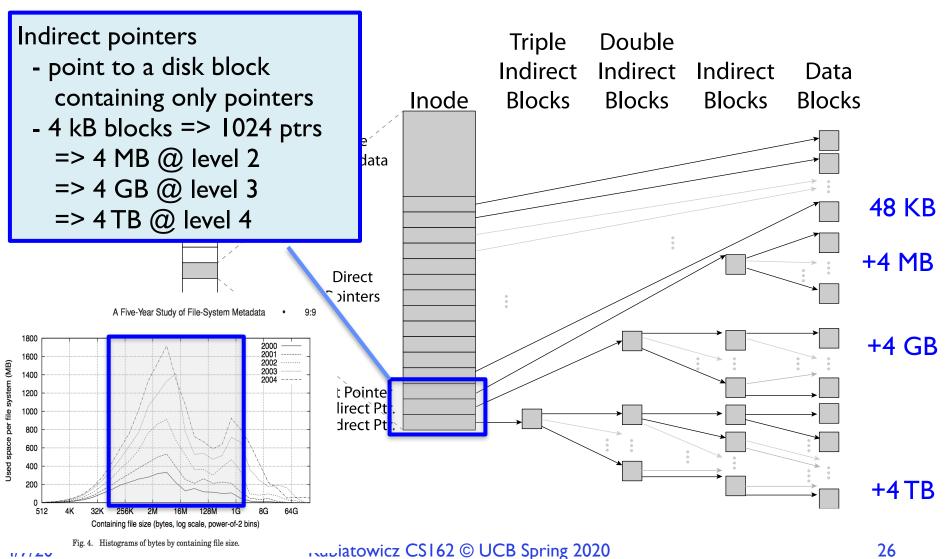
Data Storage

• Small files: I2 pointers direct to data blocks



Data Storage

• Large files: 1,2,3 level indirect pointers



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UNIX BSD 4.2 (1984) (1/2)

- Same as BSD 4.1 (same file header and triply indirect blocks), except incorporated ideas from Cray Operating System:
 - Uses bitmap allocation in place of freelist
 - Attempt to allocate files contiguously
 - 10% reserved disk space
 - Skip-sector positioning (mentioned later)

UNIX BSD 4.2 (1984) (2/2)

- Problem: When create a file, don't know how big it will become (in UNIX, most writes are by appending)
 - How much contiguous space do you allocate for a file?
 - In BSD 4.2, just find some range of free blocks
 - » Put each new file at the front of different range
 - » To expand a file, you first try successive blocks in bitmap, then choose new range of blocks
 - Also in BSD 4.2: store files from same directory near each other
- Fast File System (FFS)
 - Allocation and placement policies for BSD 4.2

Attack of the Rotational Delay

- Problem 2: Missing blocks due to rotational delay
 - Issue: Read one block, do processing, and read next block. In meantime, disk has continued turning: missed next block! Need 1 revolution/block!

Skip Sector

, Track Buffer (Holds complete track)

- Solution I: Skip sector positioning ("interleaving")
 - » Place the blocks from one file on every other block of a track: give time for processing to overlap rotation
 - » Can be done by OS or in modern drives by the disk controller
- Solution 2: Read ahead: read next block right after first, even if application hasn't asked for it yet
 - » This can be done either by OS (read ahead)
 - » By disk itself (track buffers) many disk controllers have internal RAM that allows them to read a complete track
- Modern disks + controllers do many things "under the covers"
 - Track buffers, elevator algorithms, bad block filtering

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Where are inodes Stored?

- In early UNIX and DOS/Windows' FAT file system, headers stored in special array in outermost cylinders
- Header not stored anywhere near the data blocks
 - To read a small file, seek to get header, seek back to data
- Fixed size, set when disk is formatted
 - At formatting time, a fixed number of inodes are created
 - Each is given a unique number, called an "inumber"

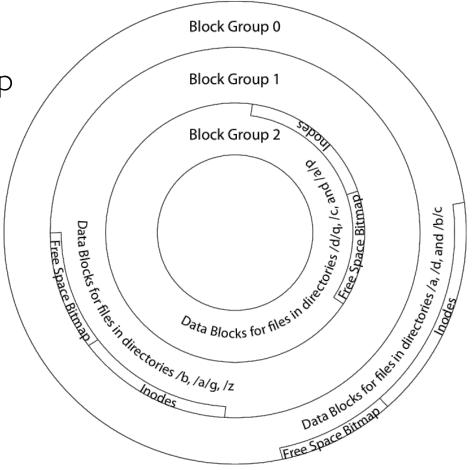
Where are inodes Stored?

- Later versions of UNIX moved the header information to be closer to the data blocks
 - Often, inode for file stored in same "cylinder group" as parent directory of the file (makes an ls of that directory run fast)
- Pros:
 - UNIX BSD 4.2 puts bits of file header array on many cylinders
 - For small directories, can fit all data, file headers, etc. in same cylinder ⇒ no seeks!
 - File headers much smaller than whole block (a few hundred bytes), so multiple headers fetched from disk at same time
 - Reliability: whatever happens to the disk, you can find many of the files (even if directories disconnected)
- Part of the Fast File System (FFS)
 - General optimization to avoid seeks

4.2 BSD Locality: Block Groups

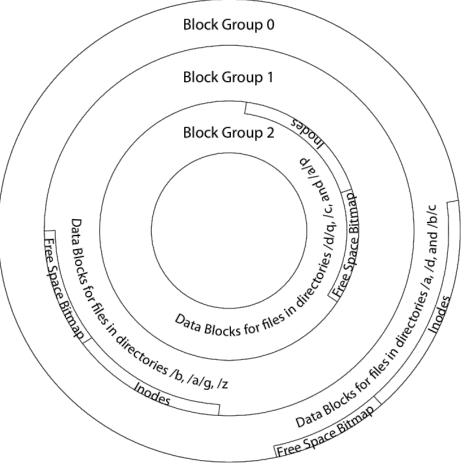
- File system volume is divided into a set of block groups

 Close set of tracks
- Data blocks, metadata, and free space interleaved within block group
 - Avoid huge seeks between user data and system structure
- Put directory and its files in common block group



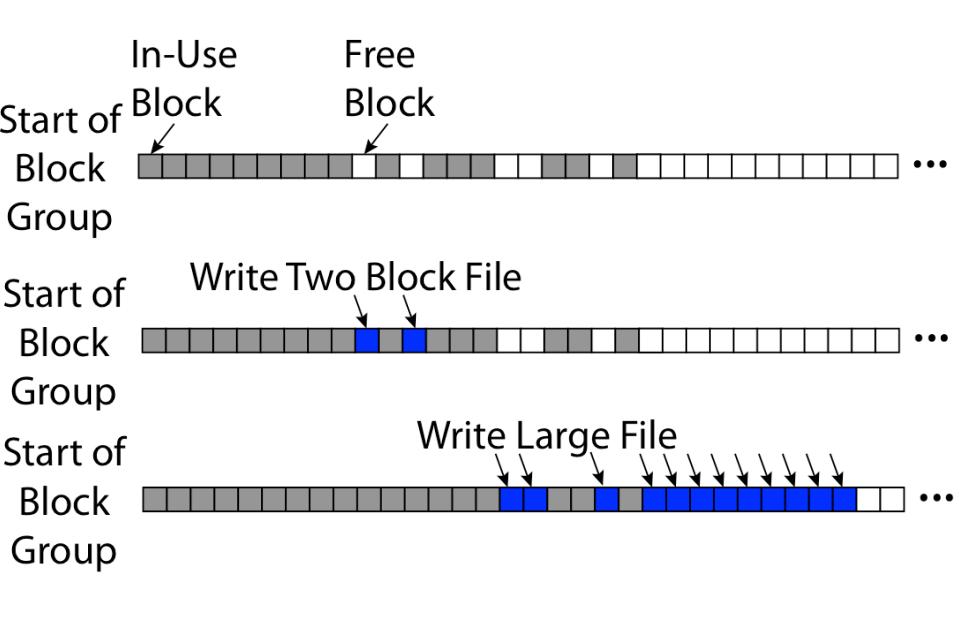
4.2 BSD Locality: Block Groups

- First-Free allocation of new file blocks
 - To expand file, first try successive blocks in bitmap, then choose new range of blocks
 - Few little holes at start, big sequential runs at end of group
 - Avoids fragmentation
 - Sequential layout for big files
- Important: keep 10% or more free!
 - Reserve space in the Block Group



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UNIX 4.2 BSD FFS First Fit Block Allocation



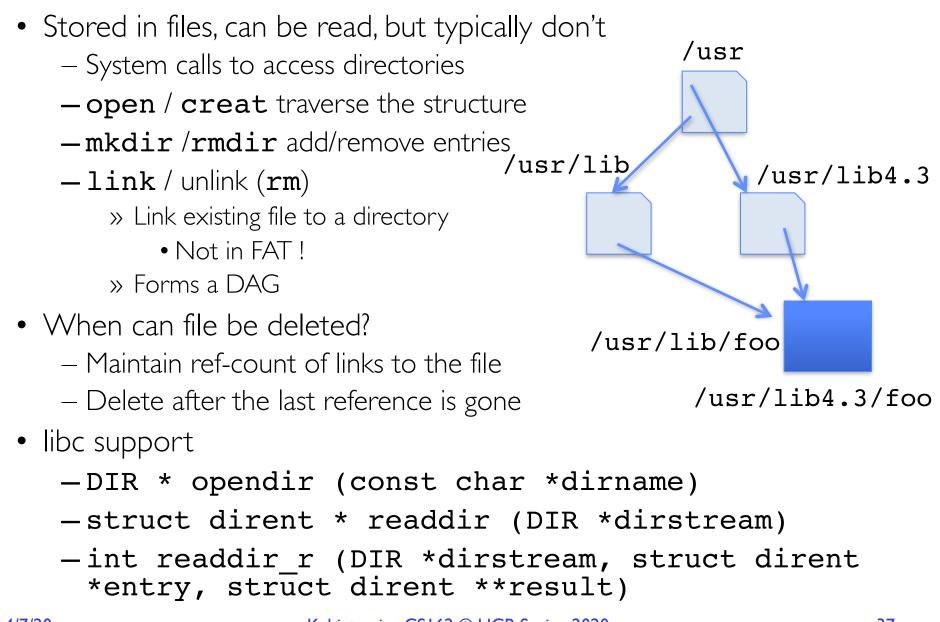
UNIX 4.2 BSD FFS

- Pros
 - Efficient storage for both small and large files
 - Locality for both small and large files
 - Locality for metadata and data
 - No defragmentation necessary!
- Cons
 - Inefficient for tiny files (a 1 byte file requires both an inode and a data block)
 - Inefficient encoding when file is mostly contiguous on disk
 - Need to reserve 10-20% of free space to prevent fragmentation

Linux Example: Ext2/3 Disk Layout

- Block Group 0 Disk divided into block groups Super Block Inode Table Root Directory – Provides locality Name Inode Len Block: 258 2 12 2 Each group has two block-sized Block 1 16 dir123 2.109 Journal 8 bitmaps (free blocks/inodes) Contents 12 dir1 5,033 Blocks 6 - 257 Group Block sizes settable. Block 258 Descriptor Table at format time: I K, 2K, 4K, 8K... 0 Block Group 2 Actual inode structure similar to Inode Table 'dir1' contents 4.2 BSD Blocks 2 - 3 Name Len Inode Block: 18,431 12 2 5.033 with 12 direct pointers 16 12.jpg 5.086 16 5,110 5,110 Block: 20.002.. file1.dat Ext3: Ext2 with Journaling 16 14.jpg 5,088 Blocks 16,390 - 16,641 Block 18,431 Several degrees of protection Inode Block Bitmap Bitmap file1.dat contents with comparable overhead ...1.. ...1.... Block Blocks 20,002-20,003, 20,114-20,117 Block 16,386 16,385
 - Example: create a file1.dat under /dir1/ in Ext3

A bit more on directories



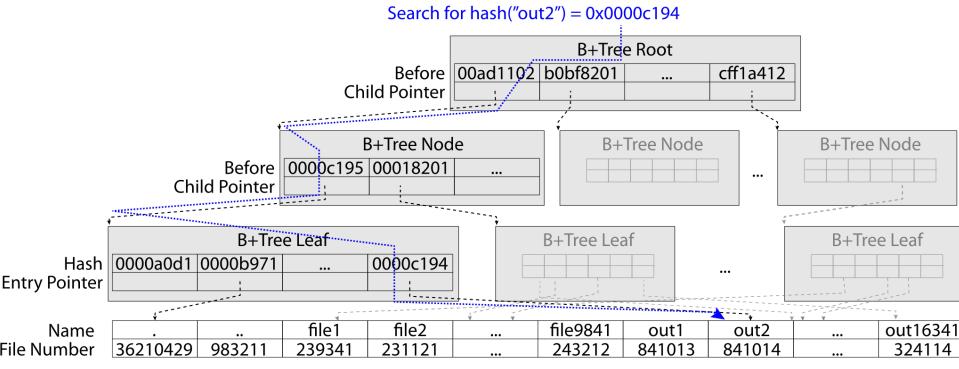
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Links

- Hard link
 - Sets another directory entry to contain the file number for the file
 - Creates another name (path) for the file
 - Each is "first class"
- Soft link or Symbolic Link or Shortcut
 - Directory entry contains the path and name of the file
 - Map one name to another name

Large Directories: B-Trees (dirhash)

in FreeBSD, NetBSD, OpenBSD



"out2" is file 841014

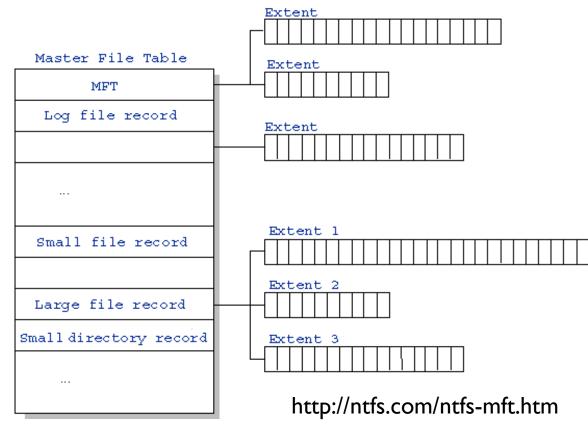
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NTFS

- New Technology File System (NTFS)
 Default on Microsoft Windows systems
- Variable length extents
 - Rather than fixed blocks
- Everything (almost) is a sequence of <attribute:value> pairs
 Meta-data and data
- Mix direct and indirect freely
- Directories organized in B-tree structure by default

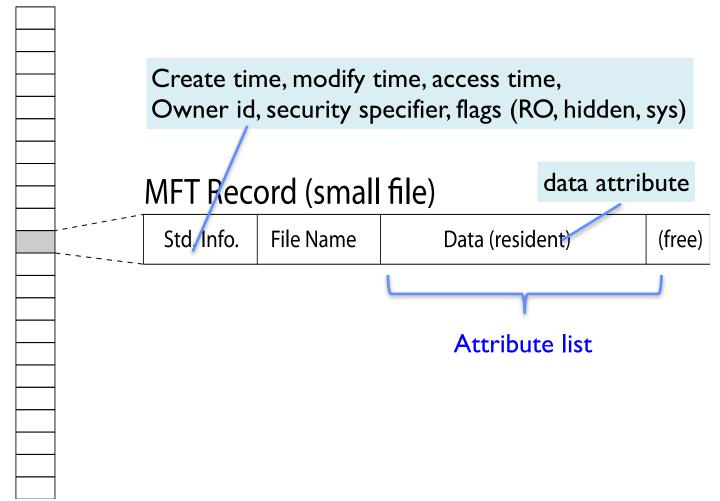
NTFS

- Master File Table
 - Database with Flexible IKB entries for metadata/data
 - Variable-sized attribute records (data or metadata)
 - Extend with variable depth tree (non-resident)
- Extents variable length contiguous regions
 - Block pointers cover runs of blocks
 - Similar approach in Linux (ext4)
 - File create can provide hint as to size of file
- Journaling for reliability
 Discussed later



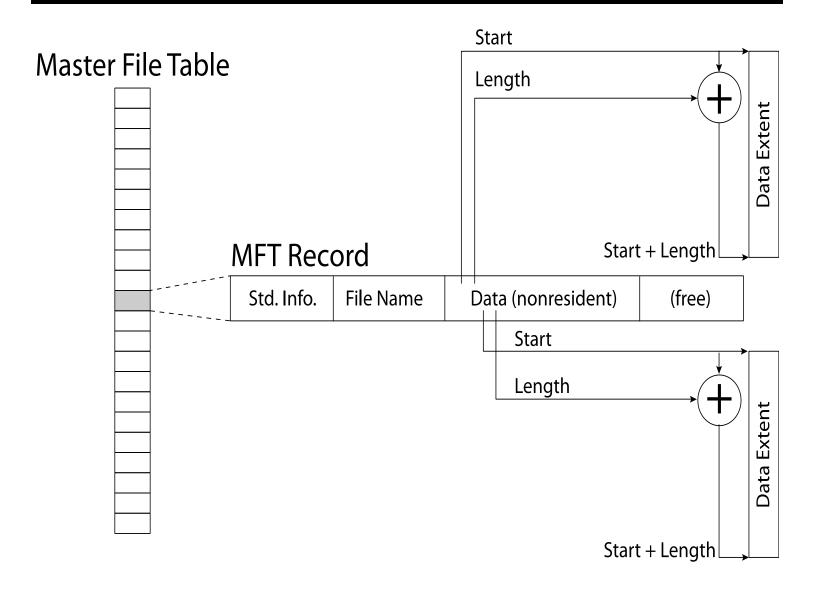
NTFS Small File

Master File Table

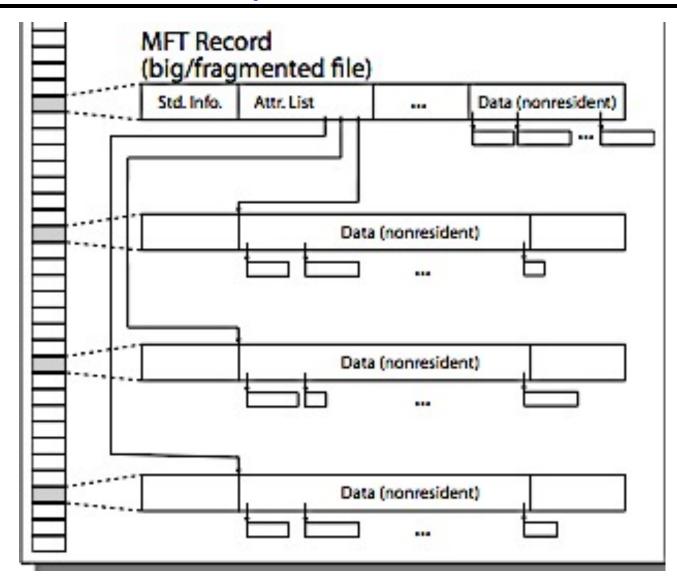


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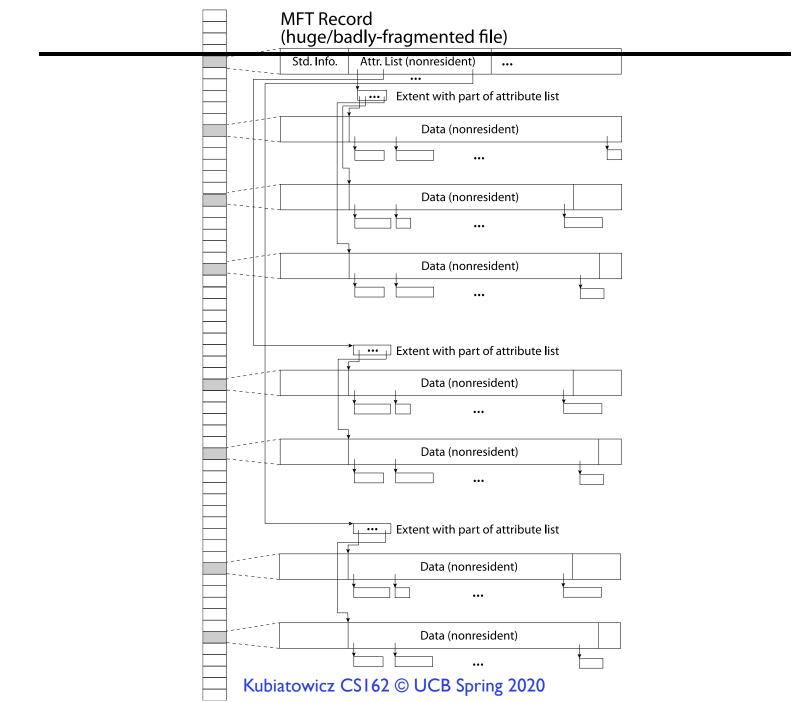
NTFS Medium File



NTFS Multiple Indirect Blocks



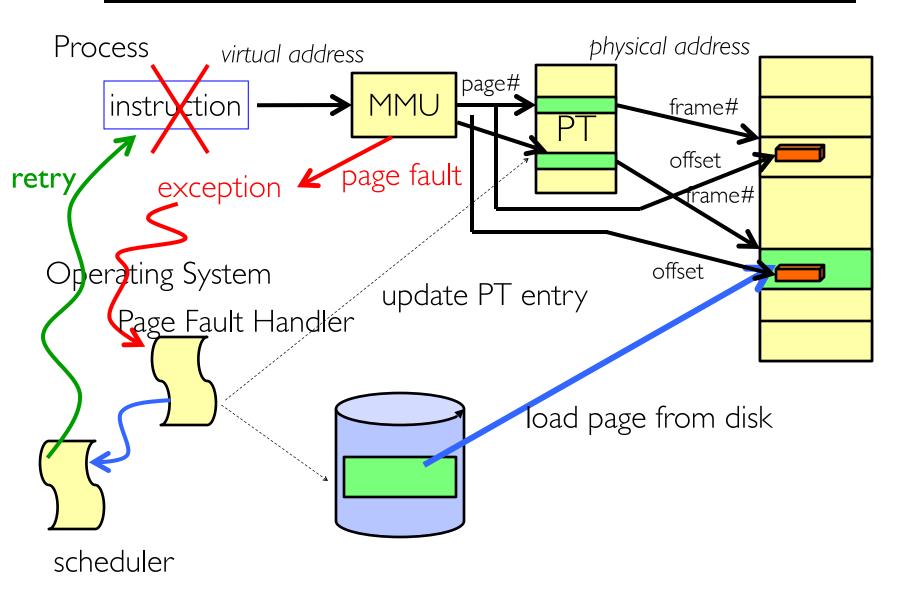
Master File Table



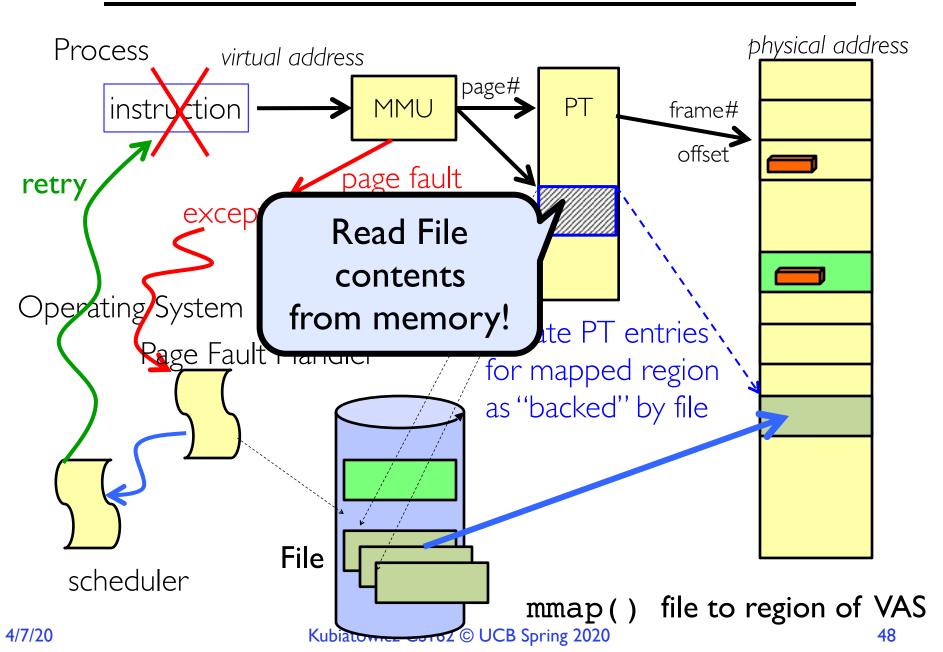
Memory Mapped Files

- Traditional I/O involves explicit transfers between buffers in process address space to/from regions of a file
 - This involves multiple copies into caches in memory, plus system calls
- What if we could "map" the file directly into an empty region of our address space
 - Implicitly "page it in" when we read it
 - Write it and "eventually" page it out
- Executable files are treated this way when we exec the process!!

Recall: Who Does What, When?



Using Paging to mmap() Files



MMAP (MMA BSD System Calls Manual MMA		
NAME	<pre>mmap allocate memory, or map files or devices into memory</pre>		
LIBRA	ARY Standard C Library (libc, –lc)		
SYNOF	PSIS #include <sys mman.h=""></sys>		
	<pre>void * mmap(void *addr, size_t len, int prot, int flags, int fd,</pre>		
DESCR	RIPTION The mmap() system call causes the pages starting at <u>addr</u> and conti for at most <u>len</u> bytes to be mapped from the object described by <u>fd</u> starting at byte offset <u>offset</u> . If <u>offset</u> or <u>len</u> is not a multipl	.,	

• May map a specific region or let the system find one for you

- Tricky to know where the holes are

• Used both for manipulating files and for sharing between processes

An mmap() Example

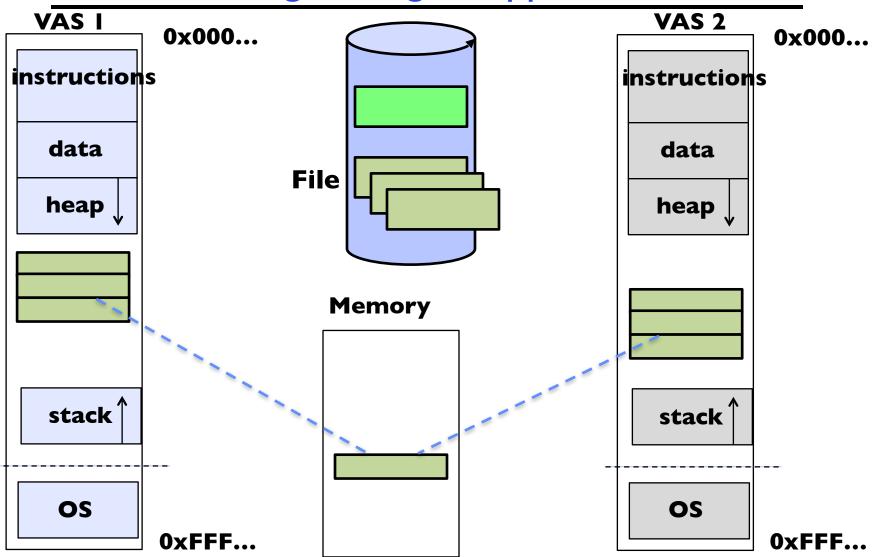
```
#include <sys/mman.h> /* also stdio.h, stdlib.h, string.h, fcntl.h, unistd.h */
int something = 162;
int main (int argc, char *argv[]) {
  int myfd;
  char *mfile:
  printf("Data at: %16lx\n", (long unsigned int) & something);
  printf("Heap at : %16lx\n", (long unsigned int) malloc(1));
  printf("Stack at: %16lx\n", (long unsigned int) &mfile);
  /* Open the file */
  myfd = open(argv[1], O RDWR | O CREAT);
  if (myfd < 0) { perror("open failed!");exit(1); }</pre>
  /* map the file */
  mfile = mmap(0, 10000, PROT READ | PROT WRITE, MAP FILE | MAP SHARED, myfd, 0);
  if (mfile == MAP FAILED) {perror("mmap failed"); exit(1);}
  printf("mmap at : %16lx\n", (long unsigned int) mfile);
  puts(mfile);
  strcpy(mfile+20,"Let's write over it");
  close(myfd);
  return 0;
```

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An mmap() Example

#include <sys mman.h=""> /* also stdio <u>b atdlib b atming b fantl b unistd b */</u></sys>			
int something = 162;	\$ cat test		
	This is line one		
<pre>int main (int argc, char *argv[]) int myfd;</pre>	This is line two		
char *mfile;	This is line three		
	This is line four		
<pre>printf("Data at: %161x\n", (1c printf("Heap at : %161x\n", (1c</pre>			
<pre>printf("Stack at: %161x\n", (10 printf("Stack at: %161x\n", (10 </pre>	Dala al: 105005050		
	Heap at : 7f8a33c04b70		
<pre>/* Open the file */ myfd = open(argv[1], O RDWR O</pre>	Stack at: 7fff59e9db10		
if (myfd < 0) { perror("open fa	mmap at : 105d97000		
/* map the file */			
<pre>map che file a/ mfile = mmap(0, 10000, PROT_REA</pre>			
<pre>if (mfile == MAP_FAILED) {perro</pre>			
<pre>printf("mmap at : %16lx\n", (loggering)</pre>	This is line one		
<pre>puts(mfile);</pre>	This is line four		
<pre>strcpy(mfile+20,"Let's write ov close(myfd);</pre>			
return 0;			
} /7/20 Kubiatowicz CS162 © UCB Spring 2020 51			

Sharing through Mapped Files



Also: anonymous memory between parents and children
 – no file backing – just swap space
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File System Caching

- Key Idea: Exploit locality by caching data in memory
 - − Name translations: Mapping from paths→inodes
 - Disk blocks: Mapping from block address→disk content
- Buffer Cache: Memory used to cache kernel resources, including disk blocks and name translations
 - Can contain "dirty" blocks (blocks yet on disk)
- Replacement policy? LRU
 - Can afford overhead of timestamps for each disk block
 - Advantages:
 - » Works very well for name translation
 - » Works well in general as long as memory is big enough to accommodate a host's working set of files.
 - Disadvantages:
 - » Fails when some application scans through file system, thereby flushing the cache with data used only once
 - » Example: find . -exec grep foo {} \;
- Other Replacement Policies?
 - Some systems allow applications to request other policies
 - Example, 'Use Once':
 - » File system can discard blocks as soon as they are used

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File System Caching (con't)

- Cache Size: How much memory should the OS allocate to the buffer cache vs virtual memory?
 - Too much memory to the file system cache \Rightarrow won't be able to run many applications at once
 - Too little memory to file system cache ⇒ many applications may run slowly (disk caching not effective)
 - Solution: adjust boundary dynamically so that the disk access rates for paging and file access are balanced
- Read Ahead Prefetching: fetch sequential blocks early
 - Key Idea: exploit fact that most common file access is sequential by prefetching subsequent disk blocks ahead of current read request (if they are not already in memory)
 - Elevator algorithm can efficiently interleave groups of prefetches from concurrent applications
 - How much to prefetch?
 - » Too many imposes delays on requests by other applications
 - » Too few causes many seeks (and rotational delays) among concurrent file requests

File System Caching (con't)

- Delayed Writes: Writes to files not immediately sent out to disk
 - Instead, write() copies data from user space buffer to kernel buffer (in cache)
 - » Enabled by presence of buffer cache: can leave written file blocks in cache for a while
 - » If some other application tries to read data before written to disk, file system will read from cache
 - Flushed to disk periodically (e.g. in UNIX, every 30 sec)
 - Advantages:
 - » Disk scheduler can efficiently order lots of requests
 - » Disk allocation algorithm can be run with correct size value for a file
 - » Some files need never get written to disk! (e..g temporary scratch files written /tmp often don't exist for 30 sec)
 - Disadvantages
 - » What if system crashes before file has been written out?
 - » Worse yet, what if system crashes before a directory file has been written out? (lose pointer to inode!)

Important "ilities"

- Availability: the probability that the system can accept and process requests
 - Often measured in "nines" of probability. So, a 99.9% probability is considered "3-nines of availability"
 - Key idea here is independence of failures
- Durability: the ability of a system to recover data despite faults
 - This idea is fault tolerance applied to data
 - Doesn't necessarily imply availability: information on pyramids was very durable, but could not be accessed until discovery of Rosetta Stone
- Reliability: the ability of a system or component to perform its required functions under stated conditions for a specified period of time (IEEE definition)
 - Usually stronger than simply availability: means that the system is not only "up", but also working correctly
 - Includes availability, security, fault tolerance/durability
 - Must make sure data survives system crashes, disk crashes, other problems

File System Summary (1/2)

- File System:
 - Transforms blocks into Files and Directories
 - Optimize for size, access and usage patterns
 - Maximize sequential access, allow efficient random access
 - Projects the OS protection and security regime (UGO vs ACL)
- File defined by header, called "inode"
- Naming: translating from user-visible names to actual sys resources
 - Directories used for naming for local file systems
 - Linked or tree structure stored in files
- Multilevel Indexed Scheme
 - inode contains file info, direct pointers to blocks, indirect blocks, doubly indirect, etc..
 - NTFS: variable extents not fixed blocks, tiny files data is in header

File System Summary (2/2)

- 4.2 BSD Multilevel index files
 - Inode contains ptrs to actual blocks, indirect blocks, double indirect blocks, etc.
 - Optimizations for sequential access: start new files in open ranges of free blocks, rotational optimization
- File layout driven by freespace management
 - Integrate freespace, inode table, file blocks and dirs into block group
- Deep interactions between mem management, file system, sharing
 —mmap(): map file or anonymous segment to memory
- Buffer Cache: Memory used to cache kernel resources, including disk blocks and name translations

Can contain "dirty" blocks (blocks yet on disk)