CS162
Operating Systems and Systems Programming Lecture 18

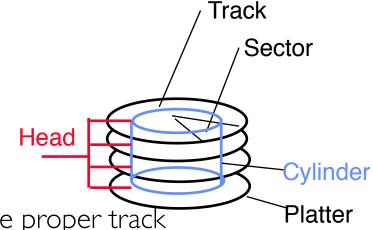
Queueing Theory,
Disk scheduling & File Systems

April 2nd, 2020 Prof. John Kubiatowicz http://cs162.eecs.Berkeley.edu

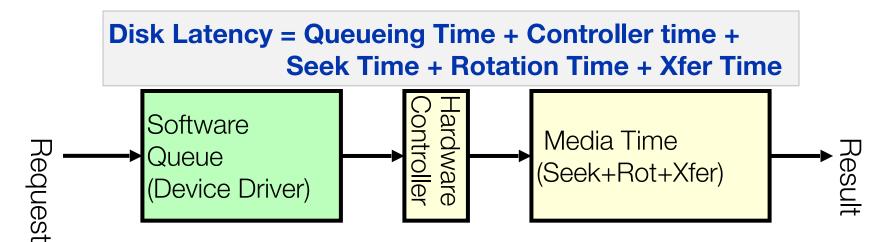
Acknowledgments: Lecture slides are from the Operating Systems course taught by John Kubiatowicz at Berkeley, with few minor updates/changes. When slides are obtained from other sources, a a reference will be noted on the bottom of that slide, in which case a full list of references is provided on the last slide.

Review: Magnetic Disks

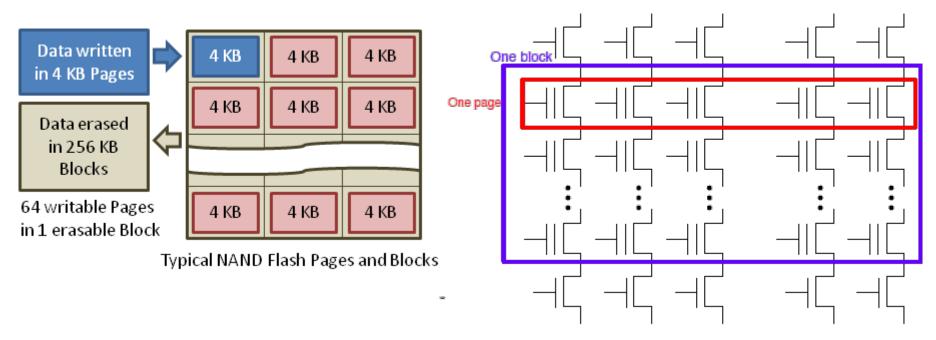
 Cylinders: all the tracks under the head at a given point on all surface



- Read/write data is a three-stage process:
 - Seek time: position the head/arm over the proper track
 - Rotational latency: wait for desired sector to rotate under r/w head
 - Transfer time: transfer a block of bits (sector) under r/w head



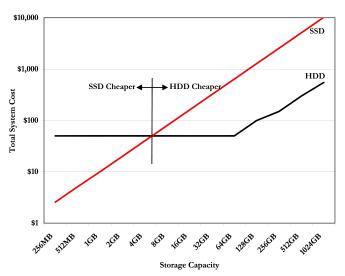
Flash Memory (Con't)

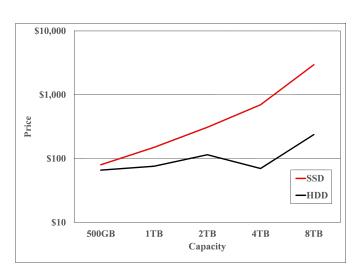


- Data read and written in page-sized chunks (e.g. 4K)
 - Cannot be addressed at byte level
 - Random access at block level for reads (no locality advantage)
 - Writing of new blocks handled in order (kinda like a log)
- Before writing, must be erased (256K block at a time)
 - Requires free-list management
 - CANNOT write over existing block (Copy-on-Write is normal case)

Recall: SSD Summary

- Pros (vs. hard disk drives):
 - Low latency, high throughput (eliminate seek/rotational delay)
 - No moving parts:
 - » Very light weight, low power, silent, very shock insensitive
 - Read at memory speeds (limited by controller and I/O bus)
- Cons
 - Small storage (0.1-0.5x disk), expensive (3-20x disk)
 - » Hybrid alternative: combine small SSD with large HDD
 - Wear-out happens because of writing

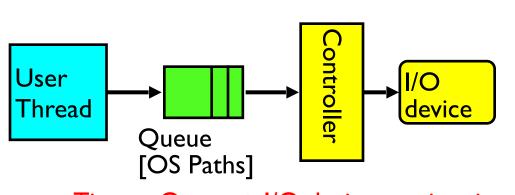




2007 perspective (Storage Newsletter)

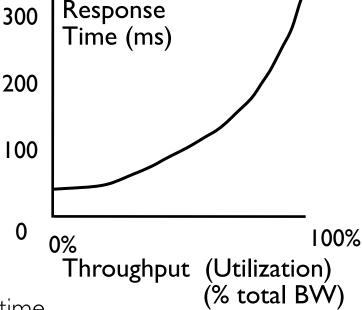
2019 perspective

Recall: I/O Performance

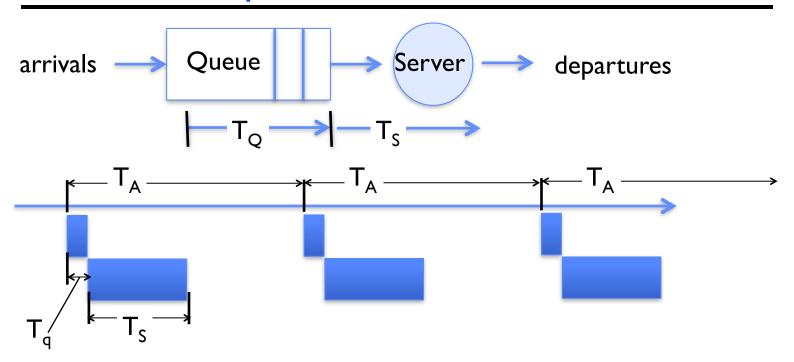


Response Time = Queue + I/O device service time

- Performance of I/O subsystem
 - Metrics: Response Time, Throughput
 - Effective BW per op = transfer size / response time
 - \Rightarrow EffBW(n) = n / (S + n/B) = B / (I + SB/n)
 - Contributing factors to latency:
 - » Software paths (can be loosely modeled by a queue)
 - » Hardware controller
 - » I/O device service time
- Queuing behavior:
 - Can lead to big increases of latency as utilization increases
 - Solutions?

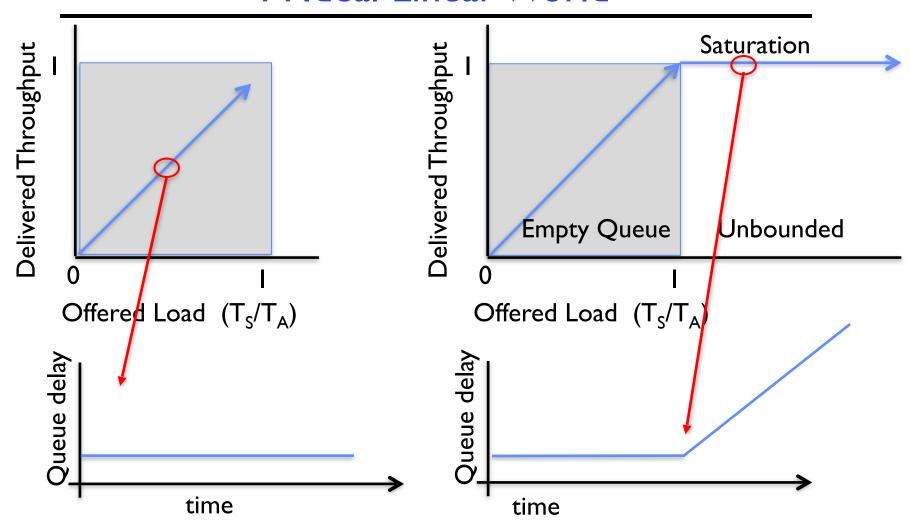


A Simple Deterministic World



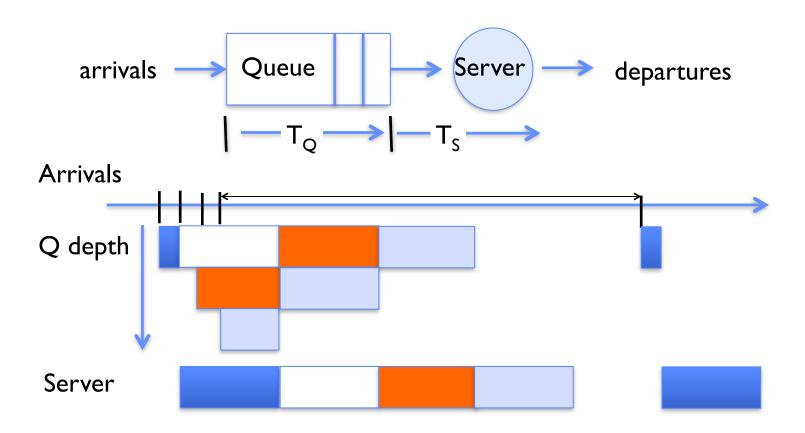
- Assume requests arrive at regular intervals, take a fixed time to process, with plenty of time between ...
- Service rate $(\mu = I/T_S)$ operations per second
- Arrival rate: $(\lambda = I/T_A)$ requests per second
- Utilization: $U = \lambda/\mu$, where $\lambda < \mu$

A Ideal Linear World



- What does the queue wait time look like during overload?
 - Grows unbounded at a rate $\sim (T_S/T_A)$ till request rate subsides

Reality: A Bursty World



- Requests arrive in a burst, must queue up till served
- Same average arrival time, but:
 - Almost all of the requests experience large queue delays
 - Even though average utilization is low!

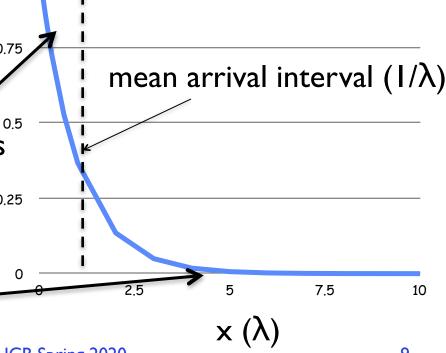
So how do we model the burstiness of arrival?

- Elegant mathematical framework if you start with exponential distribution
 - Probability density function of a continuous random variable with a mean of $1/\lambda$
 - $f(x) = \lambda e^{-\lambda x}$
 - "Memoryless"

Likelihood of an event occurring is independent of how long we've been waiting

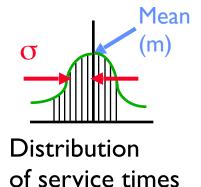
> Lots of short arrival intervals (i.e., high instantaneous rate)_{0.25}

Few long gaps (i.e., low instantaneous rate)

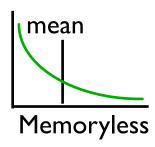


Background: General Use of Random Distributions

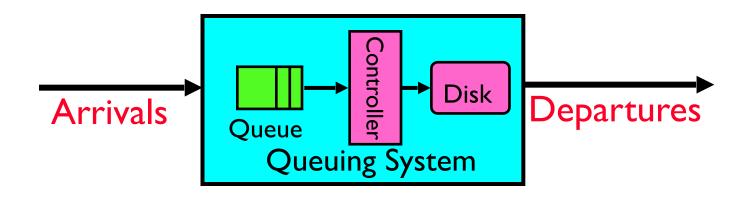
- Server spends variable time (T) with customers
 - Mean (Average) $m = \Sigma p(T) \times T$
 - Variance (stddev²) $\sigma^2 = \Sigma p(T) \times (T-m)^2 = \Sigma p(T) \times T^2-m^2$
 - Squared coefficient of variance: $C = \sigma^2/m^2$ Aggregate description of the distribution



- Important values of C:
 - No variance or deterministic \Rightarrow C=0
 - "Memoryless" or exponential $\Rightarrow C=1$
 - » Past tells nothing about future
 - » Poisson process purely or completely random process
 - » Many complex systems (or aggregates) are well described as memoryless
 - Disk response times C ≈ 1.5 (majority seeks < average)

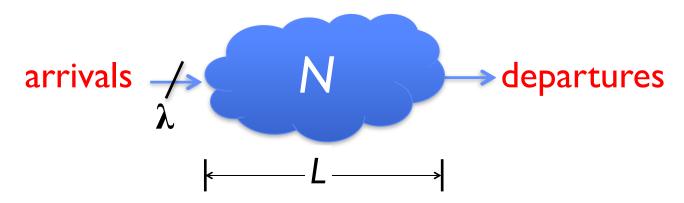


Introduction to Queuing Theory



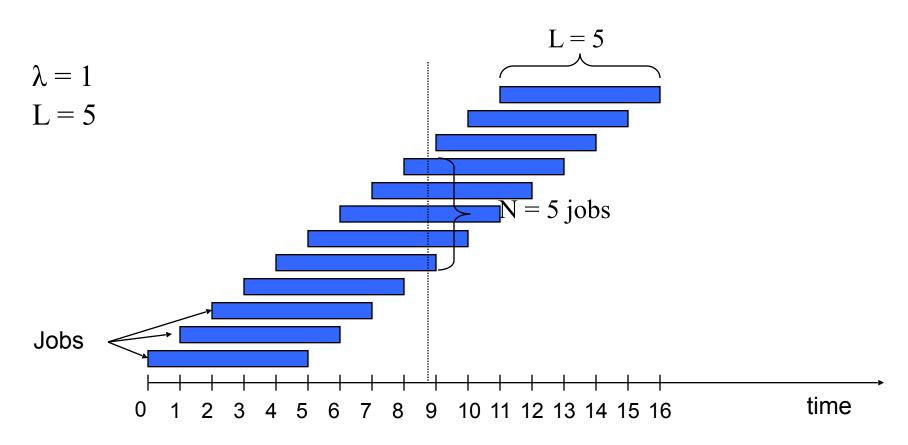
- What about queuing time??
 - Let's apply some queuing theory
 - Queuing Theory applies to long term, steady state behavior ⇒ Arrival rate = Departure rate
- Arrivals characterized by some probabilistic distribution
- Departures characterized by some probabilistic distribution

Little's Law



- In any stable system
 - Average arrival rate = Average departure rate
- The average number of jobs/tasks in the system (N) is equal to arrival time / throughput (λ) times the response time (L)
 - $-N(jobs) = \lambda(jobs/s) \times L(s)$
- Regardless of structure, bursts of requests, variation in service
 - Instantaneous variations, but it washes out in the average
 - Overall, requests match departures

Example



$$A: N = \lambda \times L$$

• E.g., $N = \lambda x L = 5$

Administrivia (Rough Cut!)

- MT2 has been moved to next Thursday (4/9)
 - Lectures 10-17
- It will be 5-7PM in Pacific Daylight Time
 - Make sure to register conflicts in the google doc posted by Alex
- Basic Mechanism:
 - We will release an answer book early so that you can print it on a printer
 - We will start the exam on time and send out exams to you
 - When time is up, we will give you time to scan your exam by taking pictures of the pages, then submitting your result
- We anticipate that people will do well on this exam
 - We are not going to grade on a curve and will likely reduce the overall value of MT2 and MT3 relative to MT1
 - However, we are invoking the honor code that you will not ask others for help
 - And, there will be many different versions of the exam

A Little Queuing Theory: Some Results

- Assumptions:
 - System in equilibrium; No limit to the queue
 - Time between successive arrivals is random and memoryless



- Parameters that describe our system:
 - $-\lambda$: mean number of arriving customers/second
 - T_{ser}: mean time to service a customer ("ml")
 - C: squared coefficient of variance = σ^2/ml^2
 - $-\mu$: service rate = I/T_{ser}
 - u: server utilization (0≤u≤1): $u = λ/μ = λ × T_{ser}$
- Parameters we wish to compute:
 - $-T_{a}$: Time spent in queue
 - $-L_q$: Length of queue = $\lambda \times T_q$ (by Little's law)
- Results:
 - Memoryless service distribution (C = I): (an "M/M/I queue"): $T_a = T_{ser} \times u/(I - u)$
 - General service distribution (no restrictions), I server (an "M/G/I queue"): » $T_a = T_{ser} \times \frac{1}{2}(I+C) \times \frac{u}{I-u}$

A Little Queuing Theory: Some Results

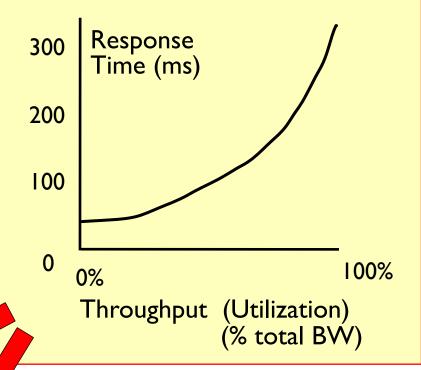
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- Parameters that describe our system:
 - $-\lambda$: mean number of arriving cust
 - T_{ser}: mean time to service a custor
 - C: squared coefficient of variance
 - $-\mu$: service rate = I/T_{ser}
 - u: server utilization (0≤u≤1): u =
- Parameters we wish to compute:
 - $-T_{q}$: Time spent in queue
 - $-L_q$: Length of queue $=\lambda \times \frac{1}{100}$
- Results:
 - Memoryless service distribution (C \neq): (an "M/M/I queue"): » $T_a = T_{ser} \times u/(I - u)$
 - General service distribution (no restrictions), I server (an "M/G/I queue"):

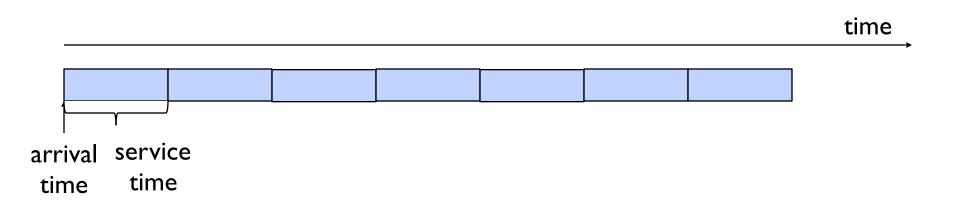
 $T_{q} = T_{ser} \times \frac{1}{2}(1+C) \times \frac{u}{1-u}$

Why does response/queueing delay grow unboundedly even though the utilization is < 1?

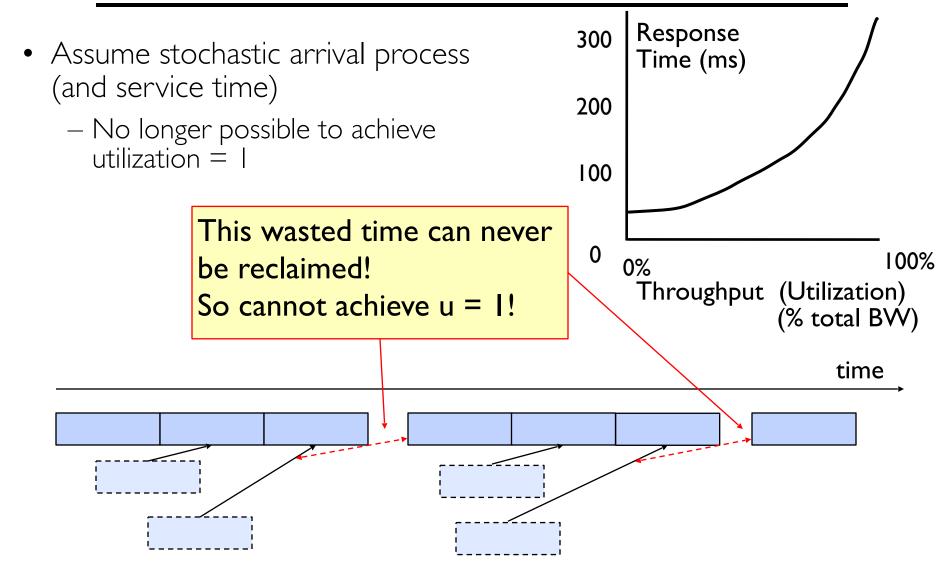


Why unbounded response time?

- Assume deterministic arrival process and service time
 - Possible to sustain utilization = I with bounded response time!



Why unbounded response time?



A Little Queuing Theory: An Example

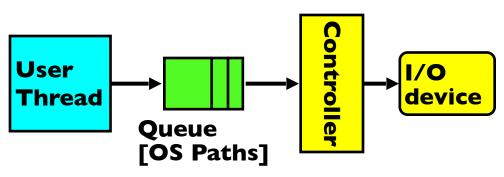
- Example Usage Statistics:
 User requests 10 x 8KB disk I/Os per second

 - Requests & service exponentially distributed (C=1.0)
 Avg. service = 20 ms (From controller+seek+rot+trans)
- Questions:
 - How utilized is the disk?
 - » Ans: server utilization, $u = \lambda T_{ser}$
 - What is the average time spent in the queue? \rightarrow Ans: T_{a}
 - What is the number of requests in the queue? » Ans: L
 - What is the avg response time for disk request? \Rightarrow Ans: $T_{sys} = T_a + T_{ser}$
- Computation:
 - (avg # arriving customers/s) = 10/s (avg time to service customer) = 20 ms (0.02s)(server utilization) = $\lambda \times T_{ser}$ = 10/s \times .02s = 0.2 (avg time/customer in queue) = $T_{ser} \times u/(1 - u)$ = 20 × 0.2/(1-0.2) = 20 × 0.25 = 5 ms (0 .005s) (avg length of queue) = $\lambda \times T_q = 10/s \times .005s = 0.05$ (avg time/customer in system) $=T_a + T_{ser} = 25 \text{ ms}$

Queuing Theory Resources

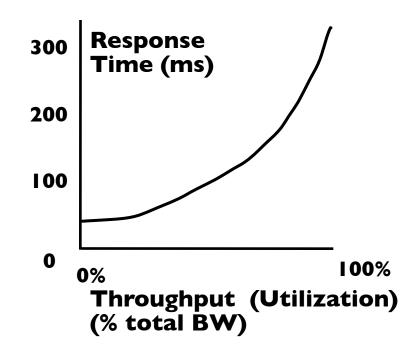
- Resources page contains Queueing Theory Resources (under Readings):
 - Scanned pages from Patterson and Hennessy book that gives further discussion and simple proof for general equation: https://cs162.eecs.berkeley.edu/static/readings/patterson_queue.pdf
 - A complete website full of resources: http://web2.uwindsor.ca/math/hlynka/gonline.html

Optimize I/O Performance



Response Time =
Queue + I/O device service time

- How to improve performance?
 - Make everything faster
 - More Decoupled (Parallelism) systems» multiple independent buses or controllers
 - Optimize the bottleneck to increase service rate
 » Use the queue to optimize the service
 - Do other useful work while waiting
- Queues absorb bursts and smooth the flow
- Admissions control (finite queues)
 - Limits delays, but may introduce unfairness and livelock



I/O Scheduling Discussion

- What happens when two processes are accessing storage in different regions of the disk?
- What can the driver do?
- How can buffering help?
- What about non-blocking I/O?
- Or threads with blocking I/O?
- What limits how much reordering the OS can do?

When is Disk Performance Highest?

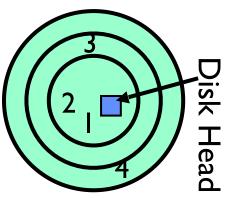
- When there are big sequential reads, or
- When there is so much work to do that they can be piggy backed (reordering queues—one moment)
- OK to be inefficient when things are mostly idle
- Bursts are both a threat and an opportunity
- <your idea for optimization goes here>
 - Waste space for speed?

Disk Scheduling (1/2)

 Disk can do only one request at a time; What order do you choose to do queued requests?

User Requests Head

- FIFO Order
 - Fair among requesters, but order of arrival may be to random spots on the disk ⇒ Very long seeks
- SSTF: Shortest seek time first
 - Pick the request that's closest on the disk
 - Although called SSTF, today must include rotational delay in calculation, since rotation can be as long as seek
 - Con: SSTF good at reducing seeks, but may lead to starvation

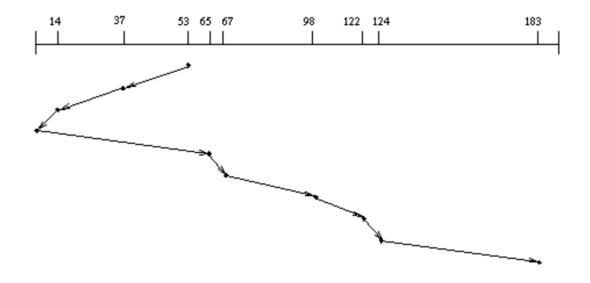


Disk Scheduling (2/2)

 Disk can do only one request at a time; What order do you choose to do queued requests?

User Requests Head

- SCAN: Implements an Elevator Algorithm: take the closest request in the direction of travel
 - No starvation, but retains flavor of SSTF

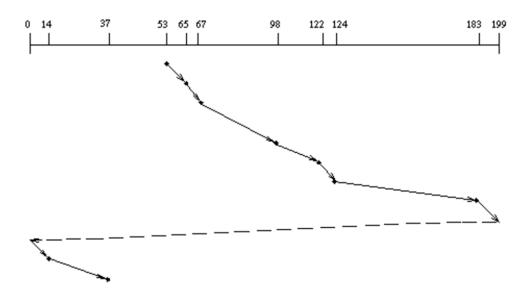


Disk Scheduling (2/2)

 Disk can do only one request at a time; What order do you choose to do queued requests?

User Requests Head

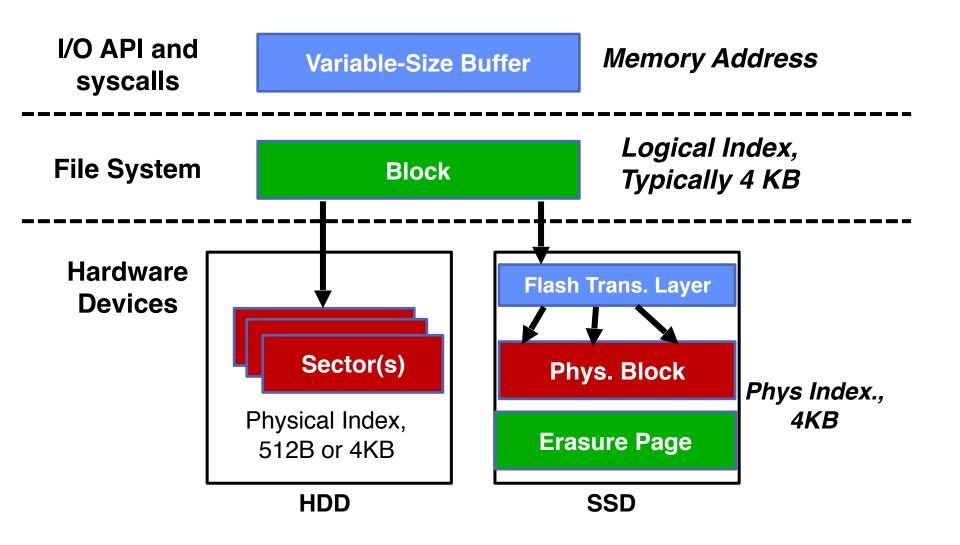
- C-SCAN: Circular-Scan: only goes in one direction
 - Skips any requests on the way back
 - Fairer than SCAN, not biased towards pages in middle



Recall: How do we Hide I/O Latency?

- Blocking Interface: "Wait"
 - When request data (e.g., read() system call), put process to sleep until data is ready
 - When write data (e.g., write() system call), put process to sleep until device is ready for data
- Non-blocking Interface: "Don't Wait"
 - Returns quickly from read or write request with count of bytes successfully transferred to kernel
 - Read may return nothing, write may write nothing
- Asynchronous Interface: "Tell Me Later"
 - When requesting data, take pointer to user's buffer, return immediately; later kernel fills buffer and notifies user
 - When sending data, take pointer to user's buffer, return immediately; later kernel takes data and notifies user

From Storage to File Systems



I/O & Storage Layers

Operations, Entities and Interface

Application / Service streams High Level I/O handles Low Level I/O Syscall registers file open, file read, ... on struct file * & void * File System describtors we are here ... I/O Driver Commands and Data Transfers Disks, Flash, Controllers, DMA Voice Coil ➌ Spindle Motor

Recall: C Low level I/O

- Operations on File Descriptors as OS object representing the state of a file
 - User has a "handle" on the descriptor

```
#include <fcntl.h>
#include <unistd.h>
#include <sys/types.h>

int open (const char *filename, int flags [, mode_t mode])
int create (const char *filename, mode_t mode)
int close (int filedes)
```

Bit vector of:

- Access modes (Rd,Wr, ...)
- Open Flags (Create, ...)
- Operating modes (Appends, ...)

Bit vector of Permission Bits:

User|Group|Other X R|W|X

http://www.gnu.org/software/libc/manual/html_node/Opening-and-Closing-Files.html

Recall: C Low Level Operations

```
ssize t read (int filedes, void *buffer, size_t maxsize)
- returns bytes read, 0 => EOF, -1 => error
ssize t write (int filedes, const void *buffer, size t size)
- returns bytes written
off t lseek (int filedes, off t offset, int whence)
- set the file offset
   * if whence == SEEK SET: set file offset to "offset"
   * if whence == SEEK CRT: set file offset to crt location +
"offset."
   * if whence == SEEK END: set file offset to file size + "offse
int fsync (int fildes)
- wait for i/o of filedes to finish and commit to disk
void sync (void) — wait for ALL to finish and commit to disk
```

When write returns, data is on its way to disk and can be read,

but it may not actually be permanent!

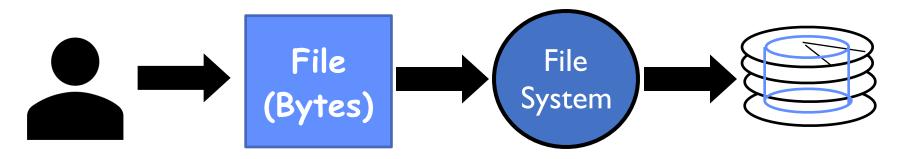
Building a File System

- File System: Layer of OS that transforms block interface of disks (or other block devices) into Files, Directories, etc.
- File System Components
 - Naming: Interface to find files by name, not by blocks
 - Disk Management: collecting disk blocks into files
 - Protection: Layers to keep data secure
 - Reliability/Durability: Keeping of files durable despite crashes, media failures, attacks, etc.

Recall: User vs. System View of a File

- User's view:
 - Durable Data Structures
- System's view (system call interface):
 - Collection of Bytes (UNIX)
 - Doesn't matter to system what kind of data structures you want to store on disk!
- System's view (inside OS):
 - Collection of blocks (a block is a logical transfer unit, while a sector is the physical transfer unit)
 - Block size ≥ sector size; in UNIX, block size is 4KB

Translating from User to Systems View



- What happens if user says: "give me bytes 2 12?"
 - Fetch block corresponding to those bytes
 - Return just the correct portion of the block
- What about writing bytes 2 12?
 - Fetch block, modify relevant portion, write out block
- Everything inside file system in terms of whole-size blocks
 - Actual disk I/O happens in blocks
 - read/write smaller than block size needs to translate and buffer

Disk Management Policies

- Basic entities on a disk:
 - File: user-visible group of blocks arranged sequentially in logical space
 - Directory: user-visible index mapping names to files
- Access disk as linear array of sectors. Two Options:
 - Identify sectors as vectors [cylinder, surface, sector], sort in cylindermajor order, not used anymore
 - Logical Block Addressing (LBA): Every sector has integer address from zero up to max number of sectors
 - Controller translates from address ⇒ physical position
 - » First case: OS/BIOS must deal with bad sectors
 - » Second case: hardware shields OS from structure of disk

What does the file system need?

- Track free disk blocks
 - Need to know where to put newly written data
- Track which blocks contain data for which files
 - Need to know where to read a file from
- Track files in a directory
 - Find list of file's blocks given its name
- Where do we maintain all of this?
 - Somewhere on disk

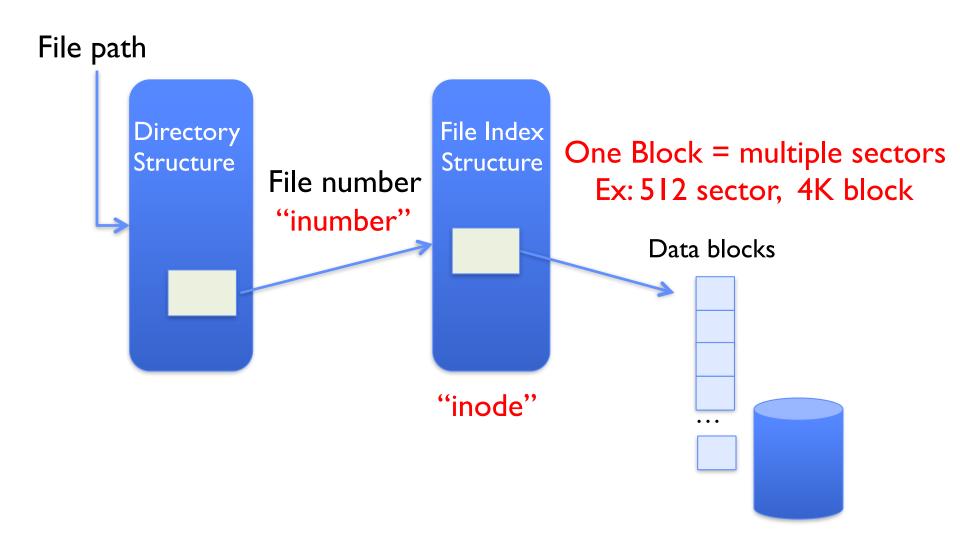
Data Structures on Disk

- Different than data structures in memory
- Access a block at a time
 - Can't efficiently read/write a single word
 - Have to read/write full block containing it
 - Ideally want sequential access patterns
- Durability
 - Ideally, file system is in meaningful state upon shutdown
 - This obviously isn't always the case...

Designing a File System ...

- What factors are critical to the design choices?
- Durable data store => it's all on disk
- (Hard) Disks Performance !!!
 - Maximize sequential access, minimize seeks
- Open before Read/Write
 - Can perform protection checks and look up where the actual file resource are, in advance
- Size is determined as they are used !!!
 - Can write to expand the file
 - Start small and grow, need to make room
- Organized into directories
 - What data structure (on disk) for that?
- Need to allocate / free blocks
 - Such that access remains efficient

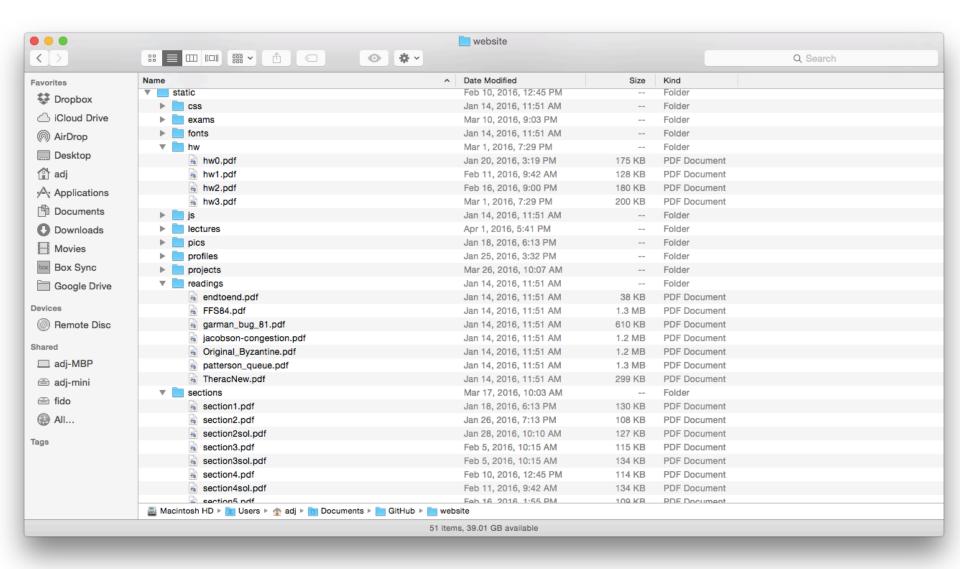
Components of a File System



Components of a file system

- Open performs Name Resolution
 - Translates pathname into a "file number"
 - » Used as an "index" to locate the blocks
 - Creates a file descriptor in PCB within kernel
 - Returns a "handle" (another integer) to user process
- Read, Write, Seek, and Sync operate on handle
 - Mapped to file descriptor and to blocks

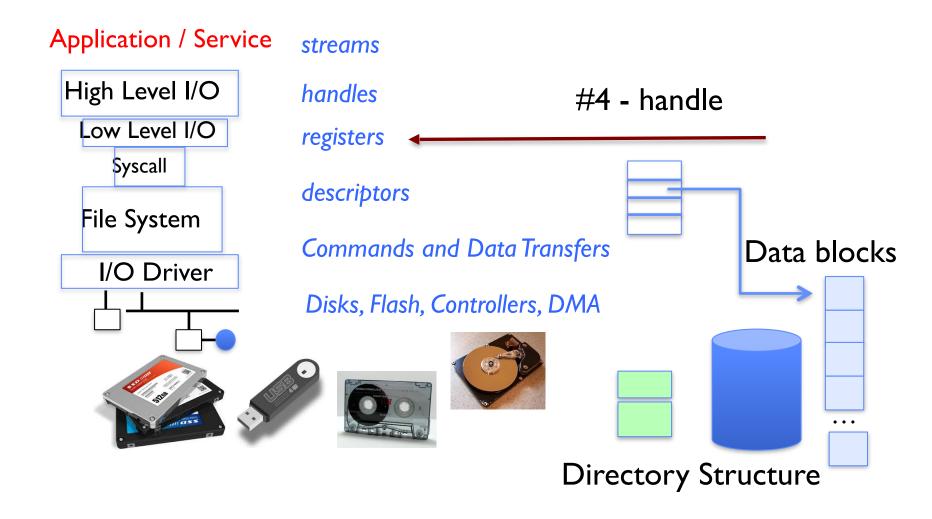
Directories



Directory

- Basically a hierarchical structure
- Each directory entry is a collection of
 - Files
 - Directories
 - » A link to another entries
- Each has a name and attributes
 - Files have data
- Links (hard links) make it a DAG, not just a tree
 - Softlinks (aliases) are another name for an entry

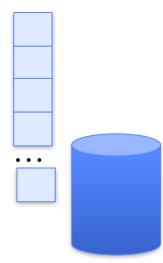
I/O & Storage Layers



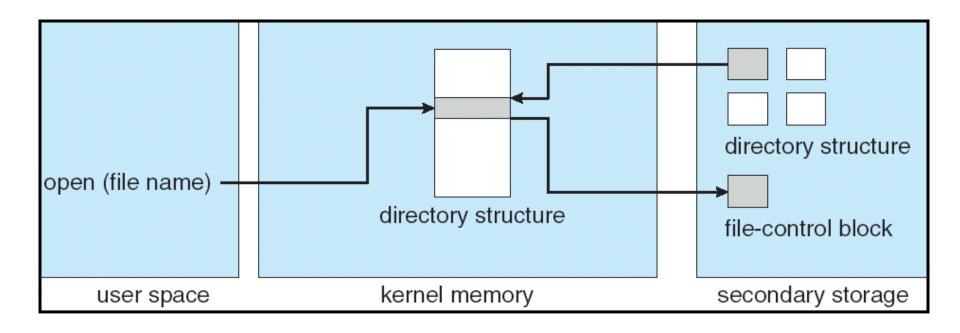
File

- Named permanent storage
- Contains
 - Data
 - » Blocks on disk somewhere
 - Metadata (Attributes)
 - » Owner, size, last opened, ...
 - » Access rights
 - R, W, X
 - Owner, Group, Other (in Unix systems)
 - Access control list in Windows system

Data blocks



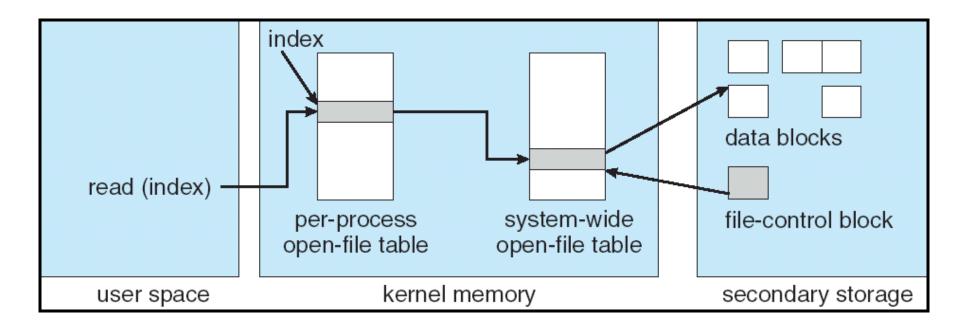
In-Memory File System Structures



Open system call:

- Resolves file name, finds file control block (inode)
- Makes entries in per-process and system-wide tables
- Returns index (called "file handle") in open-file table

In-Memory File System Structures



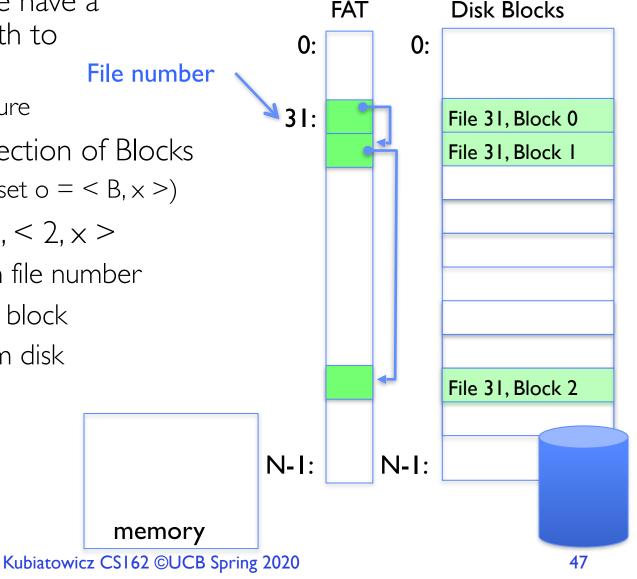
- Read/write system calls:
 - -Use file handle to locate inode
 - Perform appropriate reads or writes

Our first filesystem: FAT (File Allocation Table)

- The most commonly used filesystem in the world!
- Assume (for now) we have a way to translate a path to a "file number"

- i.e., a directory structure

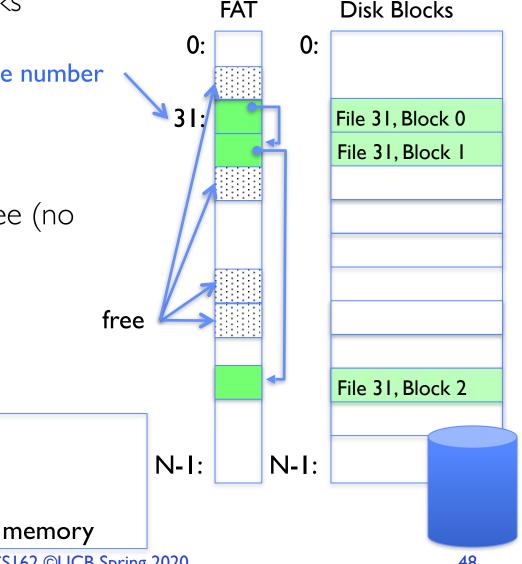
- Disk Storage is a collection of Blocks
 - Just hold file data (offset $o = \langle B, x \rangle$)
- Example: file_read 31, < 2, x >
 - Index into FAT with file number
 - Follow linked list to block
 - Read the block from disk into memory



FAT

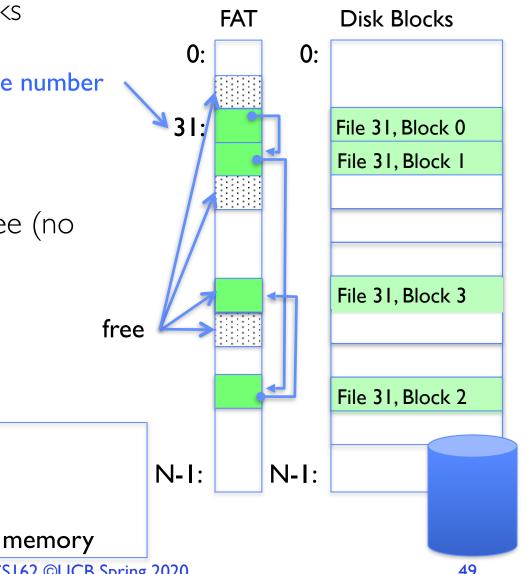
FAT Properties

- File is collection of disk blocks
- FAT is linked list I-I with blocks
- File Number is index of root File number of block list for the file
- File offset (o = < B, $\times >$)
- Follow list to get block #
- Unused blocks ⇔ Marked free (no ordering, must scan to find)



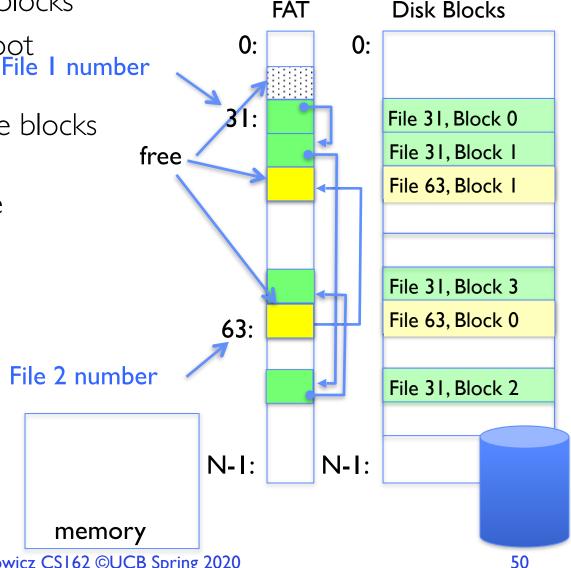
FAT Properties

- File is collection of disk blocks
- FAT is linked list I-I with blocks
- File Number is index of root File number of block list for the file
- File offset (o = < B, \times >)
- Follow list to get block #
- Unused blocks ⇔ Marked free (no ordering, must scan to find)
- Ex: file_write(31, < 3, y >)
 - Grab free block
 - Linking them into file



FAT Properties

- File is collection of disk blocks
- FAT is linked list I-I with blocks
- File Number is index of root of block list for the file
- Grow file by allocating free blocks and linking them in
- Ex: Create file, write, write



FAT Assessment

 FAT32 (32 instead of 12 bits) used in Windows, USB drives, SD cards, ... Disk Blocks FAT Where is FAT stored? 0: 0: File I number On Disk, on boot cache in memory, second (backup) copy on disk 31: File 31, Block 0 File 31, Block 1 What happens when you format a disk? File 63, Block I Zero the blocks, Mark FAT entries "free" What happens when you quick format a disk? File 31, Block 3 Mark all entries in FAT as free File 63, Block 0 63: File 2 number File 31, Block 2 Simple Can implement in N-1: N-1: device firmware memory

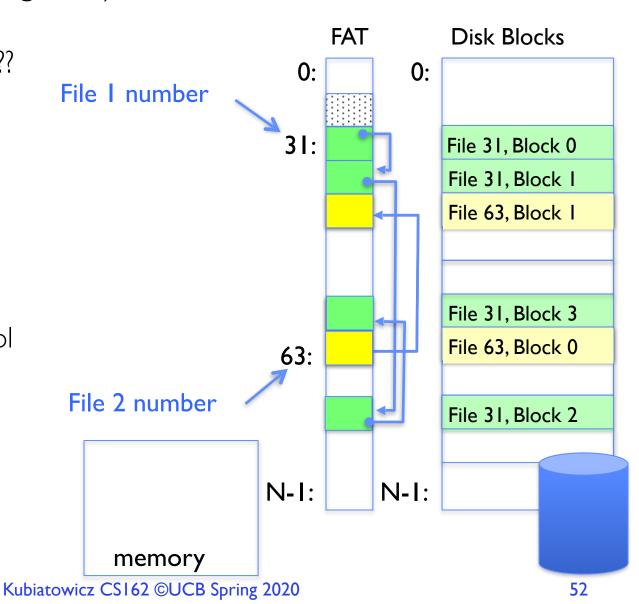
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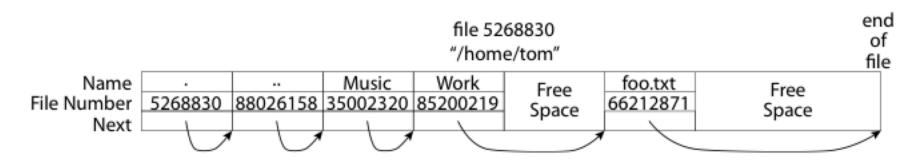
4/2/20

FAT Assessment – Issues

- Time to find block (large files) ??
- Block layout for file ???
- Sequential Access ???
- Random Access ???
- Fragmentation ???
 - MSDOS defrag tool
- Small files ???
- Big files ???



What about the Directory?



- Essentially a file containing
 <file_name: file_number> mappings
- Free space for new entries
- In FAT: file attributes are kept in directory (!!!)
- Each directory a linked list of entries
- Where do you find root directory ("/")?

Directory Structure (cont'd)

- How many disk accesses to resolve "/my/book/count"?
 - Read in file header for root (fixed spot on disk)
 - Read in first data block for root
 - » Table of file name/index pairs. Search linearly ok since directories typically very small
 - Read in file header for "my"
 - Read in first data block for "my"; search for "book"
 - Read in file header for "book"
 - Read in first data block for "book"; search for "count"
 - Read in file header for "count"
- Current working directory: Per-address-space pointer to a directory (inode) used for resolving file names
 - Allows user to specify relative filename instead of absolute path (say CWD="/my/book" can resolve "count")

Many Huge FAT Security Holes!

- FAT has no access rights
- FAT has no header in the file blocks

- Just gives an index into the FAT
 - (file number = block number)

Summary

- Bursts & High Utilization introduce queuing delays
- Queuing Latency:
 - M/M/I and M/G/I queues: simplest to analyze
 - As utilization approaches 100%, latency → ∞ $T_q = T_{ser} \times \frac{1}{2}(1+C) \times \frac{u}{1-u}$
- File System:
 - Transforms blocks into Files and Directories
 - Optimize for access and usage patterns
 - Maximize sequential access, allow efficient random access
- File (and directory) defined by header, called "inode"
- File Allocation Table (FAT) Scheme
 - Linked-list approach
 - Very widely used: Cameras, USB drives, SD cards
 - Simple to implement, but poor performance and no security
- Look at actual file access patterns many small files, but large files take up all the space!